

Consolidated Annual Performance and Evaluation Report

Plan Year 2018

Prepared by

Maine State Housing Authority

Maine Department of Economic and Community Development

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

This Maine Annual Performance Report describes the implementation of Maine's Consolidated Plan during 2018. The Consolidated Plan covers the use of HOME Investment Partnership Program (HOME), Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), Community Development Block Grant (CDBG), and leveraged funds for the period 1/1/2018 to 12/31/2018. Objectives and expected outcomes for CDBG, HOME, HTF and ESG have been identified through the Consolidated Plan process. The Annual Action Plan addresses how objectives are to be met each year.

During 2018 the Maine State Housing Authority (MaineHousing) administered the HOME, HTF and ESG funds. The Maine State Department of Economic and Community Development (DECD) administered the CDBG funds.

It is important to note that the state provides affordable housing and community development through a variety of assistance programs, many of which are funded through agency resources or state appropriations. In 2018 MaineHousing assisted more than 1,100 first time home buyers, 11,700 rental households, 630 homeowners (not including over 36,000 helped with energy assistance) and more than 1,300 homeless households. MaineHousing used funds to complete five multifamily projects, for a total of 202 units (21 for families and 181 for seniors). In 2018 nineteen projects are under construction (381 family units and 461 units for seniors).

While production results have sometimes exceeded or fallen short of stated goals, significant progress has been made to address the Consolidated Plan priorities and objectives. Use of tenant-based rental assistance (147% of 2018 goal), support emergency shelter operations & service (113% of 2018 goal) and improved economic opportunities (400% of 2017 goal) exceeded the 2018 goals.

This report covers the fourth year in the five year (2015-2019) Consolidated Plan.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	100	60	60.00%			
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	600	403	67.17%	120	90	75.00%
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	100	451	451.00%	25	67	268.00%
Improve Public Infrastructure	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	5000	25704	514.08%	2500	2392	95.68%
Improve the Quality of Existing Housing	Affordable Housing	CDBG: \$	Rental units rehabilitated	Household Housing Unit	60	160	266.67%	30	58	193.33%
Improve the Quality of Existing Housing	Affordable Housing	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	800	1075	134.38%	300	225	75.00%

Increase the Quality and Supply of Rental Housing	Affordable Housing	CDBG: \$ / HOME: \$ / ESG: \$ / HTF: \$2700000 / Housing Trust Fund: \$ / Other: \$	Rental units constructed	Household Housing Unit	593	425	71.67%	195	113	57.95%
Increase the Quality and Supply of Rental Housing	Affordable Housing	CDBG: \$ / HOME: \$ / ESG: \$ / HTF: \$2700000 / Housing Trust Fund: \$ / Other: \$	Rental units rehabilitated	Household Housing Unit	592	449	75.84%	45	20	44.44%
Increase Use of Rapid Re-Housing	Homeless	HOME: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	2000	1416	70.80%	140	207	147.86%
Support Emergency Shelter Operations & Services	Homeless	ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	38825	27071	69.73%	6200	7056	113.81%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

One example of MaineHousing’s success in meeting goals is the increased the use of Rapid Re-Housing. This goal is being met with the help of the Emergency Shelter and Housing Assistance Program navigators, funded through ESG. The navigators are a relocation and stabilization resource

and have access to rapid re-housing rental subsidies through the Stability Through Engagement Program (STEP) and Home to Stay (HTS) Housing Choice Vouchers (HCV). STEP (funded with HOME) is available to households experiencing homelessness. STEP can provide rental assistance for two years. Navigators follow the households after housing placement to ensure housing stability is maintained, and that appropriate referrals are in place. This, in coordination with the multiple system level changes in Maine, has resulted in continued progress toward the rapid re-housing of homeless families and individuals in 2018. There are no jurisdictional requirements or limits on STEP vouchers and they can be utilized throughout the state.

The State of Maine CDBG program identified the highest priorities as Housing, Economic Development, and Public Infrastructure. For the program year 2018 over 90% of the funding went to those three areas.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG	HTF
White	274	18	5,142	0
Black or African American	4	0	1,407	0
Asian	1	0	26	0
American Indian or American Native	2	0	107	0
Native Hawaiian or Other Pacific Islander	1	0	17	0
Total	282	18	6,699	0
Hispanic	0	0	262	0
Not Hispanic	103	18	6,739	0

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The CDBG, HOME and HTF data in the table above is populated from the HUD IDIS system; it does not include information on all activities covered by this report. This racial and ethnic data is only from HOME and HTF activities completed in 2018; many other individuals and families were assisted with HOME in 2018. Additionally persons identifying as multi-racial are not included in the data above.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2018**, 19% of HOME assisted households were of a race other than white.

Racial and ethnic data available for ESG households is reported by individual persons served through the ESG program. This indicates that the majority of families assisted have self-reported as “White” which comprises 78% of the total shelter population. This total does not include individuals with multiple races, and individuals assisted by ESG that did not provide race and ethnicity information.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	12,323,914	12,323,914
HOME	HOME	5,209,690	9,314,302
HOPWA	HOPWA		
ESG	ESG	1,303,506	1,278,655
HTF	HTF	3,000,000	786,732
Other	Other		

Table 3 - Resources Made Available

Narrative

Funding that HUD provided to Maine for 2018 CDBG, HOME, HTF and ESG programs is shown above. Note that amounts may include funds from previous allocations.

For FY 2018, MaineHousing allocated a total of \$6,112,939 for the Operations, Stabilization, and Performance Shares of ESHAP. These funds assisted 37 homeless shelter programs which served 7,056 individuals and families who were homeless.

The combination of ESG, Maine Real Estate Transfer Tax fees, and State General funds were used to support homeless shelter providers with shelter operating expenses, relocation, and stabilization services.

The Operations Share was funded with \$602,871 of ESG funds and \$1,842,305 of State General funds.

Stabilization Share was funded with \$602,872 of ESG, \$657,695 of State General funds and \$1,184,609 of State Home funds.

Performance Share was funded with \$1,222,587 of State Home funds.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
State Of Maine	100	100	State Of Maine

Table 4 – Identify the geographic distribution and location of investments

Narrative

The State of Maine has not chosen to target particular geographical areas for special assistance.

In 2018 MaineHousing completed HOME Rental housing in two Maine counties. ESG funds assisted shelters in eleven Maine counties.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

ESG requires a one-to-one matching of funds. For FY 2018, MaineHousing utilized approximately \$4.9 million of State of Maine funds for match.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	45,257,690
2. Match contributed during current Federal fiscal year	666,140
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	45,923,830
4. Match liability for current Federal fiscal year	569,241
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	45,354,589

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
10313	01/31/2018	219,016	0	0	0	0	0	219,016
10314	12/20/2017	227,615	0	0	0	0	0	227,615
10357	06/18/2018	170,265	0	0	0	0	0	170,265
2018.01.NON	06/30/2018	49,244	0	0	0	0	0	49,244

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
142,334	288,399	74,132	74,132	356,601

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	16,491,765	0	16,491,765			
Number	3	0	3			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition		
Parcels Acquired	3	0
Businesses Displaced	0	0
Nonprofit Organizations Displaced	0	0

Households Temporarily Relocated, not Displaced		20		27,182		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	140	207
Number of Non-Homeless households to be provided affordable housing units	240	416
Number of Special-Needs households to be provided affordable housing units	0	0
Total	380	623

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	140	207
Number of households supported through The Production of New Units	195	113
Number of households supported through Rehab of Existing Units	45	303
Number of households supported through Acquisition of Existing Units	0	0
Total	380	623

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The one-year goal for HOME-funded programs is established in the 2018 Annual Action plan. HOME data in the table above is populated from the HUD IDIS system; it may not include information on all activities covered by this report. The data above is only from HOME activities completed in 2018; many other individuals and families were assisted with HOME in 2018.

In 2018 MaineHousing exceeded the goal providing rental assistance but did not meet the goal for production of new units or the goal for rehab of existing units. It is difficult to predict the exact breakdown of new units vs rehabbed units in multifamily housing production in a given year due to the application

process. Note that in 2018 HOME funds have been allocated to three projects that have been granted notice to proceed (these projects will produce a total of 135 new units). Data from these activities will be reported when they are completed. Additionally in 2018 HTF funds have been allocated to five projects, these projects will produce a total of 43 new affordable units.

In 2018 MaineHousing exceeded the goal of providing 140 affordable housing units for the homeless .

Discuss how these outcomes will impact future annual action plans.

MaineHousing will consider these outcomes when establishing goals in the new Consolidated Plan which will be drafted in 2019.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual	HTF Actual
Extremely Low-income	0	177	0
Low-income	0	25	
Moderate-income	283	5	
Total	283	207	

Table 13 – Number of Households Served

Narrative Information

According to the IDIS Report **HOME Summary of Accomplishments for FY 2018**, 100% of beneficiaries of MaineHousing HOME-assisted programs in 2018 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

Maine is assisting extremely low-income, low-income and moderate income persons and progress is being made towards the goals identified in both the 2018 Annual Action Plan and the Consolidated Plan. Use of tenant-based rental assistance (147% of 2018 goal) exceeded the goal.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Maine CoC's outreach plan is supported in coordination with the Department of Health and Human Services (DHHS) Projects for Assistance in Transition from Homelessness (PATH) grantees. PATH Grantees work closely with ESG funded shelters, the CoC, and the ESG Grantee. The goal of these outreach efforts is to engage individuals who are unsheltered, assess their immediate needs and health care concerns, determine their eligibility for MaineCare and other services, and transition them to a community provider for ongoing assistance

Maine has two Supportive Services for Veteran Families (SSVF) programs that conduct outreach across the entire state of Maine and work directly with veterans and their families who are either homeless or at risk of homelessness.

The Maine CoC continues to develop and implement its Coordinated Entry System.

Addressing the emergency shelter and transitional housing needs of homeless persons

Maine continues to address both emergency shelter and transitional housing needs of persons who are homeless through the direction of the Maine Continuum of Care. Maine combined its two Continuums of Care, the Portland CoC and Balance of State "Maine" CoC, into one CoC in 2017. Maine implemented a Coordinated Entry System (CES) in 2018 which included the CoC and ESG programs in the state. The Maine CoC has developed a subcommittee which is tasked with revising the CES system with the goal of addressing the emergency shelter and housing needs of homeless persons in a collaborative way.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Maine's prevention efforts remain as outlined in the 2015 – 2019 Consolidated Plan. Additionally, it is expected that as the coordinated entry system for the state is implemented, the resources available upon exit from publicly funded institutions and systems of care will become more quantifiable and accessible.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

ESHAP Shelters are provided a financial incentive to focus efforts on helping stayers achieve permanent housing and to ensure that they remain in permanent housing. The table below illustrates the minimum benchmarks shelters must meet to receive this funding. All ESHAP providers were able to meet this benchmark in 2018.

Performance Measures	Low Barrier Shelter Benchmark	Single Adult Shelter Benchmark	Family Shelter Benchmark	Teen Shelter Benchmark	Domestic Violence Shelter Benchmark
1. Percentage of clients exiting to permanent housing.	5% or greater	30% or greater	30% or greater	5% or greater	30% or greater
2. Percentage of clients who return to homelessness within 6 months of exiting to permanent housing.	15% or less	15% or less	15% or less	15% or less	15% or less

Minimum Shelter Benchmarks

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

MaineHousing is not a public housing authority. The State does review the Annual and Five-Year Plans of public housing authorities throughout the state to determine consistency with Maine's Consolidated Plan and issues certifications to these authorities, upon request, documenting this fact.

In 2018 MaineHousing worked collaboratively with the PHAs in Maine as follows:

- Utilized the statewide centralized Housing Choice Voucher waitlist as mandated by Maine law, and facilitated the membership of other local PHAs by paying their initial fees for the new system.
- Offered landlord educational outreach sessions in collaboration with Auburn Housing Authority.
- Invited local PHA inspections staff to educational forums about technical themes and personal safety.
- Participated in 'occupancy meetings' which included staff representatives of PHAs statewide. These meetings include discussions of best practices, new regulations and training.
- Our Family Self-Sufficiency staff continues to lead statewide efforts to improve processes and evaluation of the program amongst those PHAs who administer it, along with bringing together all other PHA FSS staff to analyze and respond to new HUD regulations in relation to the program. Along with Portland HA we are members of the National FSS Network through a partnership with Compass Working Capital.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

MaineHousing does not own or manage public housing and does not have access to public housing residents to encourage them to participate in management or homeownership.

MaineHousing's affordable mortgage financing and down payment assistance are available to first-time homebuyers that meet income requirements.

Actions taken to provide assistance to troubled PHAs

There are no troubled PHAs in Maine.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The Annual Action Plan states that MaineHousing and the Department of Economic and Community Development will continue to encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds. Additionally the agencies monitor public policy with particular interest in issues related to affordable housing and community development.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

MaineHousing and the Department of Economic and Community Development encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2018**, 100% of beneficiaries of MaineHousing HOME-assisted programs in 2018 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

MaineHousing receives funding from State and Federal sources to offer lead safe programs for single-family households and owners of rental properties in Maine. Priority for program funds is granted to remediation and abatement projects for households with a child that has elevated blood lead levels.

A three-year Lead Hazard Reduction Demonstration Grant (LHRD) was awarded to MaineHousing in October 2016. In 2018, MaineHousing's LHRD Program funded lead abatement projects in 63 units with an average cost of \$7,707 per unit. In addition to the lead abatement work, a variety of health and safety issues were addressed in the 63 units using Healthy Homes funding received in conjunction with the LHRD Grant with an average of \$ 3,680 per unit. In 2019, MaineHousing will be applying for another three-year federal LEAD and Healthy Homes Grant to continue this work.

In 2018, MaineHousing was also awarded \$4,000,000 from the State of Maine for additional lead abatement and remediation measures. These funds will be used in a similar fashion as those from the LHRD Program, with the exception that an owner match is required (10% of project costs if the residence is not under abatement orders from CDC and 25% if the residence is under an abatement order). The State Lead Program also has an income eligibility limit of 100% of AMI. In 2018 MaineHousing addressed the statewide lead abatement contractor capacity by using LHRD Grant funds to pay for nearly 44 contractors and landlords to participate in Lead Supervisor training.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The strategy and goals of the Five-Year Consolidated Plan and the Annual Action Plan directly address the issues of individuals who are living in poverty.

In Maine, there is a statewide network of Community Action Agencies (CAAs) with a common purpose of providing services to low income people across Maine. The goals of these agencies are to empower low income people to lift themselves and their families out of poverty.

In 2018, MaineHousing worked with the Community Action Agencies to weatherize 429 homes, improve the heating systems for 1,542 households, and to provide Low Income Home Energy Assistance to over 36,000 households.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The State of Maine has an efficient institutional structure through which housing and community development programs are delivered. DECD is the administrator of CDBG funds and MaineHousing is the administrator of HOME, HTF and ESG funding. Both MaineHousing and DECD participate in a number of standing meetings with representatives from state and local government, nonprofit, and private providers of housing, homelessness, and economic development services.

In 2018 MaineHousing worked with the Continuums of Care to implement programs to serve persons who are homeless. Additionally, Maine's CoC continues to coordinate and develop its Coordinated Entry efforts.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

MaineHousing continued to work closely with the Maine Community Action Agencies (CAAs) to deliver services for HEAP, Weatherization, Home Repair, Lead Abatement, Drought Relief and Arsenic Abatement. Efforts to coordinate these Programs and to deliver services to Maine residents are executed through regular meetings with the CAAs and other partners, including the Maine Center for Disease Control and Prevention, Maine Equal Justice Partners and the Public Advocates Office. Coordination is also conducted through regular email communications and web-based trainings.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

In 2015 MaineHousing updated the Analysis of Impediments to Fair Housing Choice. The Analysis is available on the MaineHousing website.

See the attached list of actions taken in 2018 to address impediments identified in the 2015 Analysis of Impediments to Fair Housing Choice.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

MaineHousing monitors multi-family rental projects receiving HOME funds for compliance with the program rules and property standards through on-site inspections at the required frequency outlined in 24 CFR §92.504(d). As outlined in the 2013 Final HOME Rule, in 2016 MaineHousing implemented a risk-based model which adjusted the frequency intervals for inspections. On-site inspections consist of both tenant file reviews and physical plant inspections of 20% of HOME-assisted units, although the two may not happen simultaneously. In some cases, the file review and inspection may occur in offsetting years to allow for broader coverage – this is especially true in those cases where there is no other financing in the property. Reports are issued for both types of inspections and Owners are provided 30 days to rectify any non-compliance identified during the review (or less if more significant health and safety items are identified through the physical plant inspection of the units). If non-compliance remains uncorrected, the property could be declared to be in default and action taken to call the note. In some circumstances, the period of affordability may be extended for any period the property was deemed non-compliant.

In addition to on-site reviews, annually MaineHousing reviews all financial reports provided by the owner (budgets and Audited Financial Reviews) to identify potential financial risks to the property, as well as the submitted tenant status reports that outline the tenancy and income levels of tenants in HOME units, allowing validation that the targeted population continues to be served in the property and that rents fall within allowable limits.

Maine developed a risk-assessment monitoring model for ESG with the assistance of HUD Technical Assistance in 2017, and continued to utilize this tool in 2018. Below is a snapshot of the resulting “dashboard” used to track the monitoring and technical assistance needs of shelter providers as well as serve as a conduit to report back to the CoC.

DECD monitors all CDBG projects before final closeout.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The draft 2018 CAPER was available for public comment for 15 days beginning March 13th, 2019. The public was notified of the availability via newspaper ads in the Bangor Daily News, Kennebec Journal, and Portland Press Herald. DECD and MaineHousing posted copies of the draft document on their websites and email announcements were sent to individuals and organizations. The MaineHousing email list includes

community leaders, developers, CHDOs, local housing authorities, advocacy groups, nonprofit organizations, providers of housing and services to the homeless, and individuals.

No Comments were received.

A language translator is available on the MaineHousing website.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There were no changes in the State's program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

See the attached summary of projects monitored in 2018.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

All property owners are required to have a Management Plan and a Marketing Plan that affirmatively furthers Fair Housing. MaineHousing has provided Marketing Plan Guidance to Owners/Managers that outlines requirements for assuring affirmative fair housing occurs. The owner is responsible for selecting residents in a non-discriminatory manner and maintaining a written waiting list of applicants in accordance with the project's resident selection policies and criteria that comply with all federal and state laws prohibiting discrimination on the grounds of race, color, national origin, religion, sex, physical or mental handicap, sexual orientation, familial status, ancestry, and receipt of public assistance. As a result, there is a diverse ethnic and religious population that resides in MaineHousing funded properties.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

MaineHousing is following HUD guidance for implementation of the HOME Interim Final Rule. The current guidance allows Participating Jurisdictions to accumulate program income in the current program year for commitment in the following year. As a result of this change in guidance MaineHousing has accumulated \$288,399 in program income during 2018. These funds will be committed in 2019. In 2018, \$74,132 in program income was expended on a TBRA activity. Specific characteristics of tenants receiving program income are not available.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

MaineHousing uses the Rental Loan Program (RLP) to provide low interest, long term mortgage financing to qualified private, for profit and nonprofit developers of affordable rental housing. The RLP may be used for new construction and for the acquisition and rehabilitation of existing housing. MaineHousing combines a number of financial resources for the RLP including HOME and HTF funding, tax-exempt financing, and

various state resources. This program is specifically designed to be used in conjunction with the equity provided through the LIHTC.

CAPER

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CR-56 - HTF 91.520(h)

Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.

MaineHousing is in compliance with its approved HTF Allocation Plan. While no HTF funded projects have reached a completion status, 4 projects are currently in construction and 5 more projects have been selected to receive HTF funding. When occupied these projects will house tenants with incomes at or below 30% of AMI.

Tenure Type	0 – 30% AMI	0% of 30+ to poverty line (when poverty line is higher than 30% AMI)	% of the higher of 30+ AMI or poverty line to 50% AMI	Total Occupied Units	Units Completed, Not Occupied	Total Completed Units
Rental	0	0	0	0	0	0
Homebuyer	0	0	0	0	0	0

Table 15 - CR-56 HTF Units in HTF activities completed during the period

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	MAINE
Organizational DUNS Number	809045511
EIN/TIN Number	016000001
Identify the Field Office	BOSTON
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Maine Balance of State CoC

ESG Contact Name

Prefix	Mrs
First Name	PAULA
Middle Name	M
Last Name	WEBER
Suffix	0
Title	Compliance Officer

ESG Contact Address

Street Address 1	353 Water Street
Street Address 2	0
City	Augusta
State	ME
ZIP Code	04330-4633
Phone Number	2076264600
Extension	4619
Fax Number	2076464678
Email Address	pweber@mainehousing.org

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date	01/01/2018
	CAPER

Program Year End Date

12/31/2018

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: RURAL COMMUNITY ACTION MINISTRY

City: LEEDS

State: ME

Zip Code: 04263,

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 8212.29

Subrecipient or Contractor Name: SAFE VOICES

City: Auburn

State: ME

Zip Code: 04212, 0713

DUNS Number: 840710149

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 19032.34

Subrecipient or Contractor Name: Bangor Area Homeless Shelter

City: Bangor

State: ME

Zip Code: 04401, 6403

DUNS Number: 783449689

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 20908.34

Subrecipient or Contractor Name: Bread of Life Ministries

City: Augusta

State: ME

Zip Code: 04330, 4607

DUNS Number: 780854311

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 29202.17

Subrecipient or Contractor Name: Caring Unlimited Inc.
City: Sanford
State: ME
Zip Code: 04073, 0550
DUNS Number: 780082806
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17646.87

Subrecipient or Contractor Name: Family Violence Project
City: Augusta
State: ME
Zip Code: 04332, 0304
DUNS Number: 198925133
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 23373.24

Subrecipient or Contractor Name: HOME Inc.
City: Orland
State: ME
Zip Code: 04472, 0010
DUNS Number: 071733240
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 26185.4

Subrecipient or Contractor Name: The Emmaus Center
City: Ellsworth
State: ME
Zip Code: 04605, 0223
DUNS Number: 829703040
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 24208.38

Subrecipient or Contractor Name: Homeless Services of Aroostook
City: Presque Isle
State: ME
Zip Code: 04769, 1753
DUNS Number: 195221630
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 38575.07

Subrecipient or Contractor Name: Hope and Justice Project
City: Presque Isle
State: ME
Zip Code: 04769, 2254
DUNS Number: 627646102
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 34193.39

Subrecipient or Contractor Name: Mid-Maine Homeless Shelter
City: Waterville
State: ME
Zip Code: 04903, 2612
DUNS Number: 803876713
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 57381.85

Subrecipient or Contractor Name: Milestone Foundation
City: Portland
State: ME
Zip Code: 04101, 4209
DUNS Number: 073993883
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 38044.72

Subrecipient or Contractor Name: New Beginnings Inc.
City: Lewiston
State: ME
Zip Code: 04240, 6736
DUNS Number: 194538534
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 12409.85

Subrecipient or Contractor Name: The Next Step Domestic Violence Project
City: Ellsworth
State: ME
Zip Code: 04605, 1465
DUNS Number: 046740339
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 11248.42

Subrecipient or Contractor Name: Penobscot Community Health Center Inc.
City: Bangor
State: ME
Zip Code: 04402, 2100
DUNS Number: 034744040
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 86255.65

Subrecipient or Contractor Name: Preble Street: Florence House
City: Portland
State: ME
Zip Code: 04104, 1459
DUNS Number: 780081485
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 21111.26

Subrecipient or Contractor Name: Preble Street: Joe Kreisler Shelter
City: Portland
State: ME
Zip Code: 04104, 1459
DUNS Number: 780081485
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19012.48

Subrecipient or Contractor Name: Rumford Group Homes Inc.
City: Rumford
State: ME
Zip Code: 04276, 1846
DUNS Number: 603062365
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17768.82

Subrecipient or Contractor Name: Rumford Group Homes Inc.: Norway Shelter
City: Rumford
State: ME
Zip Code: 04276, 1846
DUNS Number: 603062365
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17931.9

Subrecipient or Contractor Name: Shaw House
City: Bangor
State: ME
Zip Code: 04401, 6327
DUNS Number: 927356907
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20417.83

Subrecipient or Contractor Name: Tedford Housing: Family Shelter
City: Brunswick
State: ME
Zip Code: 04011, 1927
DUNS Number: 781973946
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 23699.28

Subrecipient or Contractor Name: Tedford Housing: Adult Shelter
City: Brunswick
State: ME
Zip Code: 04011, 1927
DUNS Number: 781973946
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19256.41

Subrecipient or Contractor Name: City of Portland: Family Shelter
City: Portland
State: ME
Zip Code: 04101, 2418
DUNS Number: 071747802
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 170189.71

Subrecipient or Contractor Name: City of Portland: Oxford Street Shelter
City: Portland
State: ME
Zip Code: 04101, 2418
DUNS Number: 071747802
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 287437.87

Subrecipient or Contractor Name: Knox County HHomeless Coalition
City: Rockland
State: ME
Zip Code: 04841, 1696
DUNS Number: 020536997
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 35333.34

Subrecipient or Contractor Name: York County Shelter Porgrams Inc. - Adult Shelter
City: Alfred
State: ME
Zip Code: 04002, 0820
DUNS Number: 187039425
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 40102.37

Subrecipient or Contractor Name: Through These Doors
City: Cape Elizabeth
State: ME
Zip Code: ,
DUNS Number:
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 18094.99

Subrecipient or Contractor Name: Rumford Group Homes Inc - Rumford Family Center Shelter
City: Rumford
State: ME
Zip Code: 04276, 2212
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17544.77

Subrecipient or Contractor Name: Partners for Peace
City: Bangor
State: ME
Zip Code: 04402, 0653
DUNS Number:
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 10515.26

Subrecipient or Contractor Name: Western Maine Homeless Outreach
City: Farmington
State: ME
Zip Code: 04938, 0830
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 18482.13

Subrecipient or Contractor Name: York County Family Emergency Shelter
City: Alfred
State: ME
Zip Code: 04002, 3296
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 17320.71

Subrecipient or Contractor Name: Families and Children Together (F.A.C.T.)
City: Bangor
State: ME
Zip Code: 04401, 6573
DUNS Number:
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 4645.79

CR-65 - Persons Assisted

NOTE: The CR-65 Persons Assisted section has been replaced by the SageHMIS CAPER Annual Reporting Tool. The State of Maine Sage 2018 CAPER report is attached to this CAPER.

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

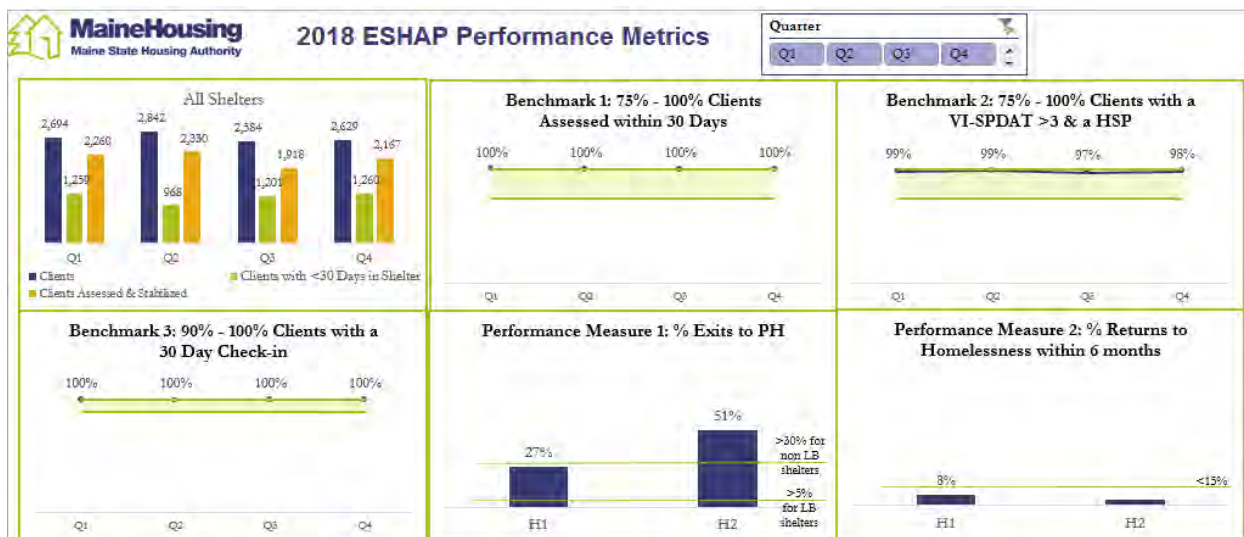
10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	441,781
Total Number of bed-nights provided	331,710
Capacity Utilization	75.08%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Maine’s network of 37 emergency homeless shelters statewide use these funds for operating expenses as well as housing relocation and stabilization services in order to rapidly re-house and stabilize individuals and families who are living in shelters and on the streets across Maine. These shelters employ 104 Housing Navigators who conduct comprehensive assessments of clients, create housing stability plans, assist with housing search and placement, and follow clients beyond the shelter to ensure housing stability. The percentage of shelters that met the outcomes for initial assessments within 30 days, including the VISPDAT was 100%; 100% of shelters created Housing Stability Plans for clients with VISPDAT scores greater than 3; and 100% of shelters met the benchmark for providing a 30 day service. The percentage of shelters that met the benchmark for exits to permanent housing increased from 86% for the first half of 2018 to 89% for the second half, and 82% of the shelters met the benchmark for housing stability, which is <15% returns to homelessness within 6 months.



2018 ESHAP Performance Metrics

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	823,455	642,873	594,449
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	823,455	642,873	594,449

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Essential Services	0	0	0
Operations	614,848	570,065	611,294
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	614,848	570,065	611,294

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Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Street Outreach	0	0	0
HMIS	0	0	0
Administration	99,205	32,630	80,477

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2016	2017	2018
	1,537,508	1,245,568	1,286,220

Table 29 - Total ESG Funds Expended

11f. Match Source

	2016	2017	2018
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	4,900,000	4,900,000	4,907,196
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	4,900,000	4,900,000	4,907,196

Table 30 - Other Funds Expended on Eligible ESG Activities

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11g. Total

Total Amount of Funds Expended on ESG Activities	2016	2017	2018
	6,437,508	6,145,568	6,193,416

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment

CAPER

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0

PR 28 PER Financial Summary

IDIS - PR28

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of Maine
 Performance and Evaluation Report
 For Grant Year 2018
 As of 03/08/2019
 Grant Number B18DC230001

DATE: 03-08-19
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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$11,645,252.00
2)	Program Income	
3)	Program income receipted in IDIS	\$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$11,645,252.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$7,564,000.00
10)	Adjustment to compute total obligated to recipients	
11)	Total obligated to recipients (sum of lines 9 and 10)	\$7,564,000.00
12)	Set aside for State Administration	\$0.00
13)	Adjustment to compute total set aside for State Administration	
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$0.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	
18)	State funds set aside for State Administration match	

Performance and Evaluation Report
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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00
C. Expenditures of State CDBG Resources		
29)	Drawn for State Administration	\$0.00
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$0.00
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$1,502,396.00
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$1,502,396.00

U.S. Department of Housing and Urban Development
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D. Compliance with Public Service (PS) Cap		
41)	Disbursed in IDIS for PS	\$42,094.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$42,094.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$11,645,252.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$11,645,252.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.36%
E. Compliance with Planning and Administration (P/A) Cap		
50)	Disbursed in IDIS for P/A from all fund types - Combined	\$49,664.00
51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$49,664.00
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$11,645,252.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$11,645,252.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	0.43%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$49,664.00
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$11,645,252.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.43%

IDIS - PR28

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
State of Maine

DATE: 03-08-19
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Performance and Evaluation Report
For Grant Year 2018
As of 03/08/2019

Grant Number B18DC230001

Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years _____ - _____

64) Final PER for compliance with the overall benefit test: []

No data returned for this view. This might be because the applied filter excludes all data.

2018 AI Results

Analysis of Impediments to Fair Housing Choice

2018 Results

MaineHousing submits the following action plan to address impediments identified in its Analysis of Impediments to Fair Housing.

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
1.1: Data collection and analysis			CPD
Identify areas of highest need to prioritize multifamily housing placement	The number of multifamily housing units for elderly The number of multifamily housing units for families	Annually	
Results:			
The number of multifamily housing units for elderly is 8,966.			
The number of multifamily housing units for families is 7,490.			
1.2: Data collection and analysis			CPD
Assess the need for maintaining the level of funding for affordable housing	Changes (increase/decrease) in funding available for programs The number of external requests for data and analysis	Annually	

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
Results: Program funding has remained level for the last several years, with the exception that State HomeFunds were increased for 2017. MaineHousing needs analysis shows that demand far outweighs supply. The number of external requests for data and analysis is 33.			
1.3: Data collection and analysis Conduct local community housing assessments upon request	The number of requests received and analyses completed	Upon Request	CPD
Results: Three requests for local analysis were received through MaineHousing’s research unit. MaineHousing provided housing data to 43 unique individuals or organizations in 2018 directly over the phone or through e-mail.			
1.4: Data collection and analysis Publish housing facts and organize data to assist external partners conducting affordable housing related research	The number of website hits to Housing Facts site The number of website hits to research site	Annually	CPD
Results: The Housing Facts page on the MaineHousing website received 1,749 hits between 1/1/2018 and 12/31/2018. The research section of the MaineHousing website received 6,061 hits between 1/1/2018 and 12/31/2018.			
1.5: Program Design Allocate low-income housing tax credits (LIHTC) and funding for new construction and preservation of affordable and mixed-income multifamily housing in Maine	The number of new affordable units and number of affordable units preserved	Annually	AM/DEV/CPD

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
<p>Results: In 2018 we were able to provide funding to 3 projects for significant physical plant improvements that impacted 289 units (preservation of affordable housing). In addition, MaineHousing purchased an RD project that was going to be converted to market rate units (Quarry Ridge – 34 units) as well as a HUD funded project that went through foreclosure – Group Home Foundation which consist of 12 units; this one includes the preservation of not only units but the subsidy/Housing Assistance Payment contract as well.</p> <p>In 2018 MaineHousing funded the new construction of 367 units with LIHTC. An additional 13 were preserved with LIHTC.</p>			
<p>1.6: Program Design</p> <p>Require deeper affordability than federal minimum affordability for LIHTC and tax-exempt bonds</p>	<p>The percentage and number of units awarded LIHTC and funding that are targeted at or below 50% of AMI</p>	<p>Annually</p>	<p>DEV</p>
<p>Results: 249 new Units (68%) are targeted at or below 50% of AMI.</p>			
<p>1.7: Program Design</p> <p>Offer subsidy for affordable units to incent developers to provide more affordable units than required by the program, and allow developers to use other sources to provide deeper affordability in lieu of reducing MaineHousing's subsidy</p>	<p>The number of affordable units awarded LIHTC with affordability periods beyond the federally-required minimum and the program-required minimum</p>	<p>Annually</p>	<p>DEV</p>

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
<p>Results: To clarify, the objective is the number of affordable units awarded LIHTC with deeper affordability than the federally-required minimum and the program-required minimum.</p> <p>Of the affordable LIHTC units created or preserved, 249 units provide additional affordability beyond the federal and program-required minimums.</p>			
<p>1.8: Program Design</p> <p>Require longer period of affordability than required under the federally-required minimum affordability period</p>	<p>The number of units awarded LIHTC that are required to be affordable beyond the federally-required minimum affordability period</p>	<p>Annually</p>	<p>DEV</p>
<p>Results: 380 units were awarded LIHTC with affordability periods beyond the federally required minimum in 2018.</p>			
<p>1.9: Homebuyer Assistance</p> <p>Maintain or increase the difference between MaineHousing's lower interest rate relative to the average bank rate for low and moderate income homebuyers</p>	<p>Change in yearly differential in MaineHousing interest rate compared to market rate</p>	<p>Annually</p>	<p>HO</p>

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
<p>Results: Market interest rates remained relatively stable during 2018, with only slight fluctuations up or down. MaineHousing was able to maintain its base interest rate between 25 - 50 basis points below market rates through the year.</p>			
<p>1.10: Homebuyer Assistance</p> <p>Provide down payment assistance to qualified homebuyers</p>	<p>The number of buyers receiving down payment assistance</p>	<p>Annually</p>	<p>HO</p>
<p>Results: 1,158 MaineHousing borrowers utilized the Advantage down payment assistance option, representing 97% of all 2018 loans made within the MaineHousing First Home Loan program.</p>			
<p>1.11: Homeowner Assistance</p> <p>Preserve existing affordable single family homes by providing grants and no interest loans to low-income households to make repairs and improvements</p>	<p>The number of low-income households assisted</p>	<p>Annually</p>	<p>EHS</p>
<p>Results: 29 low-income households were assisted with Mobile Home Replacements, 6 low-income households were assisted with Mobile Home Repairs, 23 low-income households received Accessibility assistance, and 47 low-income households were assisted by the Home Repair program in 2017.</p>			

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
<p>1.12: Education and Outreach</p> <p>Distribute materials on affordable housing and fair housing at conferences, workshops, and other appropriate public venues</p>	<p>The number of events at which these materials are distributed</p> <p>The number of brochures and other materials distributed</p>	Ongoing	HO
<p>Results:</p> <p>Affordable housing brochures/materials were distributed at 9 events. Fair housing materials were distributed at 5 events. Affordable housing brochure/materials were available to 1,273 conference attendees. Fair housing materials were available to 253 conference attendees. Homeownership staffed an exhibit, provided a 30 minute presentation, and distributed MaineHousing’s home purchase and home improvement program information to approximately 25 individuals attending the Passamaquoddy Housing Resource Fair held May 18, 2018. This was the Pleasant Point Housing Authority’s first housing resource fair for Native American tribal members.</p>			
<p>1.13: Education and Outreach</p> <p>Publish data to educate the public on regional change and variations in home ownership and rental affordability</p>	<p>The number of website hits to Housing Facts site</p>	Ongoing	CPD
<p>Results:</p> <p>The Housing Facts page on the MaineHousing website received 2,274 hits between 1/1/2018 and 12/31/2018.</p>			

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
<p>1.14: Education and Outreach</p> <p>Sponsor a conference for the affordable housing industry in the State (the Affordable Housing Conference) every other year.</p>	The number in attendance	Biannually	DIR
Results: 450 people attended the 2017 Affordable Housing Conference.			
<p>1.15: Evaluation</p> <p>Examine MaineHousing Programs and Services to determine their success in addressing the lack of affordable housing</p>	The number of programs that achieve performance goals	Annually	CPD
Results: Seven programs achieved performance goals in 2018.			
<p>1.16: Evaluation</p> <p>Review Affirmative Fair Housing Marketing Plans for new and existing multi-family housing.</p>	Number of plans reviewed.	Once upon project review and then every 1 to 3 years depending on the type of project	AM

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
Results: MaineHousing completed reviews of 46 projects funded with HOME and/or match. In addition, 3 new projects submitted the AFHMP for review prior to leasing activity.			

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
<p>2.1: Assess Maine’s effectiveness in reducing racial, ethnic and cultural barriers to housing</p> <p>Examine data on:</p> <ul style="list-style-type: none"> • incomes of minority populations • changes in the concentration of minority populations in Maine's Census tracts • Research loan origination data for patterns related to race 	Summary report shared with MaineHousing program directors and the public	Annually	CPD
Results: An analysis of Maine’s racial and ethnic group’s income, concentrations and home loan activities was completed in 2017. The analysis for 2018 will be completed in early 2019.			
2.2: Ensure program information provided by	The number of website hits to the translation tool	Ongoing	CPD /HCV

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
MaineHousing is available in alternative languages.	Number of language translation services requested Use of Language Line		
<p>Results: In 2018, 21 consumers utilized Language Line in 6 Languages: 4 Arabic, 2 Cambodian, 1 French, 2 Russian, 10 Spanish. 15 of these calls were directly related to MaineHousing programs and 6 (all Spanish) were not.</p> <p>In addition, HCV used interpretation services for American Sign Language 1 time.</p> <p>The translation tool on the MaineHousing.org website was used 286 times during 2018.</p>			
2.3: Provide the Fair Housing information and resource notice with housing information to clients. The notice contains fair housing, legal disabilities rights and LEP resources and is included in application packages used by Homeless Initiatives and the Housing Choice Voucher team.	The number of notices sent	Ongoing	HI/HCV
<p>Results: 693 HCV Briefing packets (including notices on Fair Housing) were sent to clients. The Equal Housing Disclaimer is also noted on the Welcome Page of the Maine Centralized Section 8/HCV Waiting List.</p>			

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
310 Fair Housing notices were provided to households while being given the STEP Coupon briefing.			
2.4: Coordinate and fund tenant education and financial literacy training for Navigators who in turn deliver financial literacy training for individuals transitioning from homeless shelters to permanent housing.	The number of navigators trained The number of clients trained	Annually	HI
Results: The number of navigators trained: 55 The number of clients trained: 3233			
2.5: Coordinate and fund Fair Housing Workshops for immigrants and refugees in Lewiston and Portland. Through the use of headsets, interpreters translate the presenter's remarks and printed literature is made available in different languages.	The number of workshops and immigrants/refugees trained	Annually	HO

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
<p>Results: In partnership with Pine Tree Legal Assistance, MaineHousing coordinated the delivery of 2 one-hour Fair Housing orientation sessions. The sessions were designed for immigrants and refugees and the advocacy organizations who mentor and regularly bring together immigrants and refugees for social and/or educational events. Those attending the sessions learned about the Fair Housing laws that they in turn can share with New Mainers. The first session was held for individuals attending an Immigrant Resource Center of Maine meeting. It was held February 23, 2018 at Lewiston City Hall and was attended by 35 individuals. A French interpreter was available. The second session was held for community volunteers associated with the Capital Area New Mainers Project. It was held March 16, 2018 at Temple Beth El in Augusta and was attended by 8 people.</p>			
<p>2.6: Fund English as a Second Language financial literacy group education and one-on-one counseling for individuals who are not proficient in the English language.</p>	<p>Amount of funding and number of groups and individuals counseled</p>	<p>Annually</p>	<p>HO</p>
<p>Results:</p> <p>ProsperityME (formerly Community Financial Literacy) received \$19,568.33 in State Home funding, which supported 10 financial capabilities classes serving 122 individuals and provided 30 hours of interpreter services for 2 classes. In addition, the funding supported 37.67 hours of one-on-one housing counseling services for 19 individuals, as well as formal training for two counselors.</p> <p>Four Directions Development Corporation received \$2,752.25 in State Home funding, which supported 94.25 hours of one-on-one housing counseling services for 34 individuals.</p>			

Impediment 2. Racial, Ethnic and Cultural Barriers			
Action	Measurable Objective	Timeline	Responsible Department
2.7: Fund training to increase the financial expertise of housing counselors that offer English as a Second Language financial literacy group education in Portland and Lewiston.	Amount of funding and number of counselors trained	Annually	HO
<p>Results: \$3,596.69 in State Home funding supported a 3 day training in financial education designed to enhance the expertise of two ProsperityME (formerly Community Financial Literacy) counselors.</p> <p>Claude Rwaganje and Clement Yombe attended the 2018 Prosperity Summit (formerly the Assets Learning Conference) held September 5 – 7, 2018 in National Harbor, Maryland. Breakout sessions covered topics such as consumer protections, financial capabilities, housing and homeownership, racial wealth equity, savings, jobs and income.</p>			

Impediment 3. Community Planning and Zoning Decisions that Impede Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
3.1: Collaborate with local housing authorities, municipalities, Maine Municipal Association and area planning agencies	The number of requests for assistance	As Needed	CPD
<p>Results: No requests for assistance were received by MaineHousing in 2018. MaineHousing worked with planning and housing organizations to develop a model ordinance for ADUs.</p>			
3.2: Attend local zoning and planning board meetings to	The number of meetings	As Needed	LEGAL/All Departments

Impediment 3. Community Planning and Zoning Decisions that Impede Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
educate members about fair housing laws upon request	Number of requests made and number of presentations delivered		
Results: MaineHousing did receive a request for fair housing presentations and will work to integrate developing educational materials targeting local zoning and planning boards in 2019.			
3.3: Develop materials designed to educate the public on the multiple benefits affordable housing can bring to each and every community	Materials Developed	2016	CPD
Results: The following materials were developed in 2017 and their use by interested parties has continued to grow through 2018. Affordability Index and Housing Facts Housing Profile on energy use Interactive Maps for Congressional Districts, State Senate Districts and counties showing the distribution of households served in each geography. An economic impact analysis of LIHTC projects for 2015-16. These materials are available on the MaineHousing.org website under the Policy & Research section.			
3.4: Upon request, review local and regional Analysis of Impediments (AI)	Number of requests fulfilled	As Needed	CPD

Impediment 3. Community Planning and Zoning Decisions that Impede Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
Results: No local or regional AIs reviews were requested in 2018.			
3.5: Support affordable housing projects against NIMBY efforts (discrimination by communities or neighbors) as necessary, to preserve the housing	Number of projects experiencing NIMBYism and number supported by MaineHousing	Ongoing	LEGAL/CPD
Results: None			

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	Measurable Objective	Timeline	Responsible Department
4.1: Create accessible units	Number of accessible units created	Annually	DEV
Results: 130 accessible units were funded in 2018.			
4.2: The QAP incents housing developers to provide more accessible units than required under state and federal law	Number of additional accessible units created	Annually	DEV
Results: 73 of the accessible units funded in 2018 were additional units not required by state and federal law.			

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	Measurable Objective	Timeline	Responsible Department
4.3: Educate developers and landlords about accessibility requirements	Number of developers and landlords reached with information	Ongoing	AM/DEV/ HCV/EHS
<p>Results: A total of 24 landlords participated in a Landlord Lunch and Learn forum in May of 2018 in Auburn Maine where information on accessibility was provided.</p> <p>MaineHousing worked with two project owners of existing projects during rehab on accessibility requirements in 2018.</p> <p>8 developers were reached with accessibility requirement information in 2018.</p>			
4.4: Fund home retro program to make single family homes accessible	Number of homes retrofitted	Annually	EHS/CPD
<p>Results: Accessibility Program assisted 23 homes.</p> <p>168 homes were assisted by the Comfortably Home and Home Retro Programs.</p>			
4.5: Continue to encourage the use of MainehousingSearch.org to identify accessible units	Number flagged for accessibility Hits on mainehousingsearch.org	Ongoing	HCV/HI/CPD
<p>Results: The number of units flagged for accessibility is a point in time number. As of 12/31/2017, there were a total of 15,433 accessible units listed on the MaineHousingSearch website and 269 were available.</p> <p>During 2018, there were 312,951 page views on MaineHousingSearch.org.</p>			

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	Measurable Objective	Timeline	Responsible Department
<p>693 HCV Briefing packets (including notices on Fair Housing and MaineHousingSearch) were sent to clients.</p> <p>Use of Mainehousingsearch.org was encouraged as a resource for all Homeless Initiative clients - 310 households were given the resource directly while being given the STEP Coupon briefing.</p>			
4.6: Research and track the need for accessible units based on the concentration of people with disabilities	Research completed		CPD
<p>Results: The research is complete and there are 0 REACs in Maine using HUD's definition as shown on the AFFH tool.</p>			
4.7: Collaborate with members of the Statewide Independent Living Council to move individuals with disabilities from institutions to independent living	Number of homeward bound vouchers Number of individuals with disabilities achieving independence	Ongoing	HCV
<p>Results: 1 individual was leased up in 2018 through the Homeward Bound Voucher Program.</p>			
4.8: Continue to utilize Maine Relay 711 for the hearing impaired.	The number of Maine relay 711 calls	Ongoing	FAC
<p>Results: MaineHousing used Maine relay 711 as needed in 2018. We estimate the service was used a minimum of 5 times in 2018.</p>			

Impediment 4. Lack of Availability and Access to housing for disabled individuals			
Action	Measurable Objective	Timeline	Responsible Department
4.9: MaineHousing's website, www.mainehousing.org, is accessible to persons with disabilities.	The number of hits to accessibility information pages	Ongoing	CPD
Results: We have accessibility information included in our privacy policy on the MaineHousing webpage. This policy received 147 hits between 1/1/2018 and 12/31/2018.			

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
5.1: Conduct an economic impact study of housing in Maine	Number published and distributed	2016	CPD
Results: MaineHousing will be publishing a new Economic Impact Study in the second quarter of 2019.			
5.2: Utilize selection criteria in the LIHTC Qualified Allocation Plan to incent the development of affordable housing in high-opportunity areas Remove inherent disincentives for locating affordable housing in high-opportunity areas (municipal approval not required other than applicable zoning approvals and entire State is designated as difficult-to-develop area to eliminate the economic incentive to	The number of projects awarded LIHTC that are located in high-opportunity areas	Annually	DEV

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
locate affordable housing in QCTs)			
Results: 10 LIHTC projects were funded in high opportunity areas in 2018.			
5.3: Qualified Allocation Plan Require a preference to be given to persons on a Section 8 or public housing waiting list in all units in LIHTC projects that are not covered by RD or Section 8 project-based rental assistance	The number of projects awarded LIHTC that are located in high-opportunity areas	Annually	DEV
Results: To clarify, the objective is misstated: It should be the number of LIHTC projects that give a preference to persons on a section 8 or public housing waiting list. 10 LIHTC projects gave preference to persons on a Section 8 or public housing waiting list.			
5.4: Qualified Allocation Plan Incent the development of new housing in areas with access to community assets (location in service center communities with higher need and location near public transportation, schools, employment, services and	The number of projects awarded LIHTC that are located in service center communities based on need and location near community assets	Annually	DEV

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
other amenities important to daily living)			
Results: 9 LIHTC projects were located in service center communities in 2018.			
5.5: Qualified Allocation Plan Incent the development of affordable housing in areas where the differential between the maximum LIHTC rent and the market rent is higher	The LIHTC/market rent differential for projects awarded LIHTC	Annually	DEV
Results: To clarify, the objective is the number of LIHTC projects in areas where the market rent exceeds the LIHTC rent. Of the 10 LIHTC projects funded in 2018, 7 were awarded points for being located in areas where the market rent is <u>at least 20% higher</u> than the LIHTC rent. The other 3 LIHTC projects are located in areas where the market rent is higher than the LIHTC rent although they were not funded under programs that incented it.			
5.6: Qualified Allocation Plan Encourage economic diversity by incenting the development of new affordable housing in higher income areas and mixed-income housing in qualified census tracts	The number of projects awarded LIHTC that are located in high-income areas and the number of mixed-income projects awarded LIHTC that are located in QCTs	Annually	DEV
Results: 4 LIHTC projects were located in high-income areas in 2018 and 4 mixed-income projects were located in QCTs.			
5.7: Design a Tenant Incentive Program designed to assist	Program developed	2016	HCV

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
lower income tenants to move into areas where public transportation is more readily available			
Results: Three households participated in the new Security Deposit Program in 2018 offering landlords in low poverty areas security deposit funds up to \$1000.			
5.8: Continue to provide e-HomeAmerica as an on-line option for home buyer education.	% of homebuyers who utilize eHomeAmerica on-line.	Ongoing	HO
Results: Between Oct. 1, 2017 and Sept. 30, 2018, 1,191 individuals completed eHomeAmerica's homebuyer education course online and one-hour, one-on-one post course educational session with a housing counselor.			
5.9: Continue to sponsor homeownership education classes that contain information about Fair Housing laws that are relevant to prospective home buyers.	Number of participants in home buyer education classes.	Ongoing	HO
Results: Between Oct. 1, 2017 and Sept. 30, 2018, 2,249 individuals completed an in-person homebuyer education class. The hoMEworks class curriculum requires instructors to address Fair Housing and Fair Lending.			
5.10: Utilize existing conferences and workshops to educate relevant professionals about fair housing law and enforcement	Number of relevant professionals educated Number of staff trained	Ongoing	HO/HI/HCV/CPD

Impediment 5. Limited access to neighborhood opportunities and community assets			
Action	Measurable Objective	Timeline	Responsible Department
<p>Results: 30 HCV Department staff completed a Fair Housing Training in 2018 at MaineHousing</p> <p>Using HUD grant funds, MaineHousing secured a qualified training organization to deliver in-person classroom training to ensure housing counseling professionals are prepared to pass the HUD Housing Counselors Certification Exam. NeighborWorks of America delivered the training, which provided an overview and history of Fair Housing, as well as violations and complaints. Thirty-two (32) housing individuals attended the training held September 25, 26, and 27, 2018. Eight of the thirty-two attendees were MaineHousing staff.</p> <p>9 Homeless Initiatives Department staff completed Fair Housing Training in 2018.</p> <p>MaineHousing Hosted a 2 hour Fair Housing Workshop for Shelter Navigators and Shelter Directors on 11/9/18. The information was presented by Pine Tree Legal Assistance (PTLA). This event occurred as part of the Homeless Initiatives annual Navigator training, attended by 55 participants.</p>			

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
6.1: Develop education materials aimed at reducing the number of discriminatory advertisements made by landlords	Number of information pieces distributed including newsletters and brochures	Ongoing	CPD/LEGAL/HCV
<p>Results: MaineHousing is in the process of developing these materials.</p>			
6.2: Partner with associations focused on	Number of joint initiatives	Ongoing	HO and Program Directors

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
human rights as it pertains to fair housing			
<p>Results: MaineHousing promoted a series of 3-hour Fair Housing Seminars workshops to educate the public about fair housing law and discrimination in housing sponsored by the Maine Human Rights Commission, Pine Tree Legal Assistance, and Disability Rights Maine. The seminars were held April 3, 2018 in Kittery, April 5, 2018 in Houlton, and April 9, 2018 in Lewiston.</p> <p>In addition, MaineHousing promoted a Maine Human Rights Commission & U.S. Department of HUD Fair Housing Accessibility FIRST Design & Construction Training held in Portland on May 23, 2018.</p> <p>MaineHousing forwarded the promotional flyers for these events to both large and small multifamily property managers and owners across the state.</p>			
6.3: Coordinate fair housing complaint resolution with partners	Number of fair housing interventions	Ongoing	LEGAL
<p>Results: No interventions were reported in 2018.</p>			
6.4: Continue fair housing public education programs designed to assist landlords, builders, and relevant professionals	Number of relevant professionals receiving training	Ongoing	DEV/HCV/HO/A M/HI
<p>Results: Upon learning the Human Rights Commission, Pine Tree Legal Assistance and Disability Rights Maine were planning to hold three regional Fair Housing Workshops for landlords and property managers workshops during the month of April 2018, MaineHousing opted not to offer competing Fair Housing Workshops, and instead volunteered to help promote the Human Rights Commission sponsored workshops.</p> <p>Refer to 6.2: Partner with associations focused on human rights as it pertains to fair housing.</p>			

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
<p>Educational material continues to be available on our website and HCV will continue to include in the HCV Landlord Lunch and Learn Forums and Landlord Newsletters in 2019.</p> <p>MaineHousing Homeless Initiatives Monitors reviewed the Fair Housing Policies of 37 ESHAP grantee agencies to ensure compliance.</p>			
6.5: Maintain MaineHousing's Fair Housing website page which includes information and resources about fair housing and equal access laws.	Number of website hits on the Fair Housing page.	Ongoing	CPD
<p>Results: The Fair Housing page on our MaineHousing.org website received 1,875 hits between 1/1/2018 and 12/31/2018.</p>			
6.6: Continue to administer the HUD Housing Counseling Grant	Number of individuals or families counseled	Ongoing	HO
<p>Results: Between Oct. 1, 2017 and Sept. 30, 2018, 42 individuals received HUD grant funded housing and/or financial literacy education or counseling services.</p>			
6.7: Continue to provide MaineHousing's comprehensive Communications Resource Guide to employees, contractors, agents, and owners/property managers of multi-family projects.	Number of guides distributed./Website hits	Ongoing	LEGAL/AM/CPD

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
<p>Results: The Communication Resource Guide was added to the MaineHousing website on the Asset Management page as a resource to partners under “resources to Assist with Equal Access”. The Guide received 7 hit between 1/1/2018 and 12/31/2018. The Communication Resource Guide is posted on the Intranet for use by Departments with their partners and for employees.</p>			
6.8: MaineHousing refers fair housing complaints to appropriate fair housing and equal access agencies in the State.	Number of complaints referred.	Ongoing	LEGAL
<p>Results: No referrals were documented in 2018.</p>			
6.9: MaineHousing has an internal grievance procedure for applicants and participants to file fair housing complaints about programs and services.	Number of internal grievances resolved.	Ongoing	LEGAL
<p>Results: Legal was involved in 2 internal grievances. In one, the denial of LIHEAP assistance was overturned as a reasonable accommodation. In the other, the Section 8 HCV denial was upheld.</p>			
6.10: Continue to mediate and resolve applicant and landlord/tenant issues through Community Mediation Services	Number of referrals	Ongoing	HCV
<p>Results: 1 referral was made to tenant for community mediation due to landlord/tenant issues.</p>			

Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing			
Action	Measurable Objective	Timeline	Responsible Department
6.11: Continue to distribute MaineHousing's Rental Housing Guide.	Number of guides distributed. Number of Rental Housing Guide views on the website.	Ongoing	HCV/CPD
<p>Results: The Rental Housing Guide continues to be distributed statewide upon request.</p> <p>The Rental Housing Guide was viewed on MaineHousing website 809 times.</p>			
6.12: MaineHousing program documents and contracts include language requiring sub-recipients of MaineHousing funding to adhere to Fair Housing and Civil Rights laws and regulations.	Number of documents that don't comply.	Ongoing	LEGAL
<p>Results: We have no documents to report.</p>			
6.13: Encourage employees and staff working on behalf of MaineHousing to attend local education and training events on the topics of fair housing and equal access.	Number of training events attended.	Ongoing	CPD/Department Directors
<p>Results: See response to Actions 2.1 and 5.1.</p>			

2018 MultiFamily Monitoring Report

Main Housing
2018 FedHOME Monitoring Activities

Proj/Unit	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PFI date	Summary of Issues/Concerns
MSHA-RUP-1370	N/A	\$0.00	20	7	3/24/2030	11/14/2018	11/19/2018	Tax credit/FedHOME match project. Tenant file review noted only one concern that HOME Lease Addendum not being signed and attached to the lease. Awaiting clearance from management. Property inspection found a broken screen door, missing stopper, missing toilet handle and missing tile in front of door. Awaiting response from management.
MSHA-RUP-1471	N/A	\$0.00	20	10	3/25/2030	12/20/2018	7/25/2018	Tax credit/FedHOME match project. Tenant file review noted no concerns. Property inspection found a few minor violations - slow draining sinks, grease on range burners creating a fire hazard, and peeling paint on window sill. Items cleared.
MSHA-RUP-1555	N/A	\$0.00	48	4	2/15/2033	10/18/2018	11/8/2016	Tax credit/FedHOME match project. PFI not due until 2019, no concerns with physical plant. MOR moved up due to concerns identified with tax credit review. This property is tax credit and FedHOME. Tenant file review noted one instance of rent exceeding maximum allowable by \$1/month. Tenant reimbursed for the overcharge and amount corrected going forward.
MSHA-RUP-1551	N/A	\$0.00	40	25	12/13/2025	9/20/2018	10/5/2018	Tax credit/FedHOME match project. Tenant file review identified a few concerns: Although tenants not overcharged, FedHOME units not properly identified on the Tenant Income Certification forms. Management has implemented corrections. Property inspection identified a few minor violations - cracked sidewalks, exhaust fan inoperable, crack in the wall above a shower, soft floor covering frayed along seam. Management awaiting better weather to complete repairs to sidewalk. Extension granted.
MSHA-RUP-1367	N/A	\$0.00	66	29	8/17/2025	8/9/2018	8/22/2018	Tax credit and FedHOME project. Tenant file review noted no concerns with tenant eligibility. Property inspection identified several missing stoppers in sinks, failed window seals in a unit, damaged doors, stained carpeting, missing/burn screens, tenant caused tripping hazard because of debris strewn throughout unit, paint needed on trim throughout the property. Items cleared.
MSHA-RUP-1298	N/A	\$0.00	38	3	2/29/2028	11/14/2018	11/16/2018	Tax credit/FedHOME match and RD project. Tenant file review identified no concerns. Property inspection identified some minor violations - living room door hard to open due to frame swelling, lock on door not functional, missing smoke detector (tenant caused), smoke detector inoperable, several instances of stopper in sink not functioning properly. Items cleared.
MSHA-RUP-1305	N/A	\$0.00	27	3	7/2/2024	10/18/2018	10/26/2016	Tax credit/FedHOME match project. Review was conducted due to issues with previous year's review. Tenant file review identified no concerns. Property inspection not due until 2019.
MSHA-RUP-1304	N/A	\$0.00	32	4	7/13/2025	5/24/2018	10/3/2018	Tax Credit/FedHOME match project. File review identified no concerns. Property inspection identified the following: overgrown vegetation, deteriorated radiator covers in three units, broken tile in one unit, drain stopper malfunction. Awaiting management response to these items.
MSHA-RUP-1257	N/A	\$0.00	54	4	7/13/2025	5/24/2018	10/3/2018	Tax credit/FedHOME match project. Tenant file review identified no concerns. Property inspection identified the following violations: damaged toilet, floor covering damage, inoperable drain stopper, exposed seam of flooring in a unit, and deteriorating wood trim. Awaiting response from management.

MainHousing
2018 FedHOME Monitoring Activities

Proj/Unit	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PFI date	Summary of Issues/Concerns
MSHA-RUP-1417	N/A	\$0.00	40	30	11/06/2016	10/18/2018	11/4/2016	Tax credit/FedHOME match project. Follow up review due to issues identified previous year. No concerns noted with tenant file review. Property inspection not due until 2019.
MSHA-RUP-1511	N/A	\$0.00	32	20	5/16/2012	4/9/2018	12/18/2018	Tax credit/FedHOME match project. Tenant file review identified no issues. Property inspection identified the following concerns: wash basin leaking in one unit and dryer vent disconnected in the common area laundry room. Items cleared.
MSHA-RUP-1472	9904	\$119,829.00	54	1	11/23/2011	4/24/2018	6/7/2018	Tax credit/FedHOME project. No concerns identified during tenant file review. Inspection noted two concerns - a damaged ceiling in a bathroom and a black like substance around the tub fixture in a unit from water accumulation. Items cleared within 30 days.
MP25-0001-783	1876	\$35,000.00	4	2	7/25/2011	4/11/2018	12/20/2018	No issues identified with either the tenant file review or property inspection.
MSHA-RUP-1450	8269	\$280,000.00	24	2	10/23/2016	7/17/2018	11/7/2018	No issues identified during tenant file review. Only issues identified during the property inspection was some required patching of spalls in the pavement. Management adequately addressed the issues.
MSHA-RUP-1487	9817	\$132,174.00	66	3	1/14/2011	10/18/2018	8/28/2017	Follow up management review due to issues identified previous year. No FedHOME eligibility concerns noted. No inspection in 2018, done previous year.
MSHA-RUP-1136	5845	\$350,000.00	20	3	7/20/2019	5/26/2018	10/5/2018	No concerns identified with tenant file review. Property inspection noted a few UPFS violations shingles nearing end of useful life, tenant caused egress concerns, missing stopper in a wash basin and a non-operating vent fan. Awaiting clearing response from management.
MSHA-RUP-1341	6451	\$320,000.00	16	3	12/02/2015	8/9/2018	4/21/2018	Tenant file review noted a missing HOME addendum to the lease for one tenant. Property inspection noted a few minor concerns - missing stoppers, damaged locks/hardware on entry doors, evidence of a leak around a sprinkler head, and water stains on a floor. Items adequately resolved and cleared.
MSHA-RUP-1436	8220	\$76,310.00	20	4	10/02/2018	9/20/2018	8/21/2018	No concerns identified with the tenant file review or property inspection.
MSHA-RUP-501	9835	\$600,000.00	39	4	10/14/2011	9/20/2018	9/27/2018	No concerns identified with the tenant file review. Property inspection noted missing stopper and cracked flooring in one tenant's unit. Items adequately resolved and cleared.
MSHA-RUP-1380	6982	\$400,000.00	20	4	4/20/2010	8/26/2018	8/21/2018	Tenant file review noted missing home addendum to UHTC lease for three tenants. Management corrected this. Property inspection found tenant caused blocked egress in one unit and another unit with two small broken floor tiles. Items rectified timely.
MSHA-RUP-1427	8147	\$364,000.00	26	4	9/17/2018	10/23/2018	7/19/2018	No issues noted during tenant file review. Property inspection noted only one issue - burner not working on a tenant stove. Item fixed.
MSHA-RUP-1489	9854	\$600,000.00	35	4	8/22/2011	12/13/2018	10/28/2018	No issues denoted during tenant file review. Property inspection found two minor items - deteriorated gasket on one refrigerator and drain stopper malfunction in one unit. Two items cleared timely.
MSHA-RUP-1521	9874	\$915,901.00	30	5	9/26/2011	4/10/2018	9/25/2018	No issues denoted during tenant file review. Property inspection identified a few violations - tenant caused blocked egress (fixed while on site), damaged hardware on a closet door in one unit and discoloration on a tub requiring cleaning. Awaiting response from management on these items.

MainHousing
2018 FedHOME Monitoring Activities

Proj/Unit	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PFI date	Summary of Issues/Concerns
MSHA-RIP-1337	7503	\$480,000.00	24	5	12/20/2036	12/13/2018	12/5/2018	No issues denoted with tenant file review. Property inspection noted a few minor issues: stained carpet in one unit, slow draining basin in two units. Minor items cleared.
MSHA-RIP-1430	8072	\$590,312.00	30	5	7/2/2038	9/20/2018	8/10/2018	Tenant file review found one instance of tenant being overcharged by \$2 per month. This was fixed and tenant reimbursed overcharged. Property inspection found tenant caused tripping hazard and inoperable wash basin. Both items rectified timely.
MSHA-RIP-1634	10509	\$661,250.00	59	6	12/20/2047	10/18/2018	11/2/2018	Initial tax credit/FedHOME review for new project - tenant file review found they had not implemented the new Utility Allowances in a timely manner and FedHOME units not properly identified on the tenant income certification forms. Management came in for one on one training and items were corrected. Property inspection identified no issues.
MSHA-RIP-1462	9569	\$900,000.00	41	6	6/2/2040	11/8/2018	12/19/2018	LHHC/FedHOME project. Tenant file review found that management was not ensuring the student status is properly noted on the self certification form the tenants fill out. Management is fixing their process to ensure they are documenting properly. There are no eligibility concerns. Property inspection found multiple minor concerns: washing basin stopper missing or inoperable or slow draining, unit light fixtures inoperable, closet doors broken, and one unit with Bed Bug infestation. Management working to clear these items.
MSHA-RIP-1610	10268	\$645,724.00	45	6	7/31/2047	8/8/2018	10/18/2018	LHHC/FedHOME project. Tenant file review found no concerns with FedHOME eligibility. Property inspection identified two minor concerns - tenant caused blocked egress and damaged hardware on a front door of one unit. Both items corrected.
MSHA-RIP-1251h	6932	\$250,000.00	21	6	2/3/2025	7/11/2018	11/3/2017	This project is Section 8 and FedHOME - all project based assistance. Section 8 requires annual tenant file review. No concerns with tenant eligibility. Inspection not required - done in 2017 and scheduled for 2019.
MSHA-RIP-1382	8015	\$600,000.00	36	6	4/23/2038	9/20/2018	7/19/2018	LHHC/FedHOME/RD project. Tenant file review identified no concerns. Property inspection noted a few minor items - just affecting the integrity of floor surface of garbage collection area, inoperable GFI in one unit and missing linoleum in small area in one unit. Items cleared.
MSHA-RIP-1626	10314	\$1,000,000.00	28	6	2/15/2018	8/6/2018	8/1/2018	Initial tax credit/FedHOME review. No findings with tenant file review. Property inspection noted only one small violation - inoperable GFI in community kitchen which has since been corrected.
MSHA-GHP-1183	6851	\$477,846.00	8	8	6/30/2020	3/2/2018	6/6/2018	Limited scope review conducted in 2018 to ensure tenants meet eligibility criteria. No concerns noted. Property inspection identified no concerns.
MSHA-RIP-1364	6888	\$1,073,544.00	30	8	4/27/2020	11/7/2018	10/15/2018	LHHC/FedHOME project. Tenant file review identified no concerns. Property inspection identified one minor deficiency - broken toilet handle in one of the units. Item fixed.
MSHA-RIP-1365	7949	\$1,200,000.00	60	8	4/30/2038	9/20/2018	10/19/2018	LHHC/FedHOME project. Tenant file review identified two instances where the tenants rents exceeded allowable limits. Adjustments were completed and refunds provided. Property inspection noted some minor violations - water stains on ceiling tiles, small area of missing trim in one room of a unit, kitchen cabinets in one unit damaged, two sinks with drain stop malfunctions, and curling of soft floor covering in a unit. Items cleared timely.

MainHousing
2018 Fed-HOME Monitoring Activities

Proj/Unit	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PFI date	Summary of Issues/Concerns
MS25-0003-785	1878	\$175,000.00	18	10	4/13/2025	4/11/2018	10/18/2018	Tenant file review identified no concerns. Property inspection noted one unit had a smoke detector disarmed by tenant and radiator cover showing signs of rust. Items corrected.
MSHA-RUP-1309	6467	\$750,000.00	30	30	6/21/2020	9/26/2018	8/21/2018	Tenant file review identified no concerns. Property inspection found that some of the emergency lights were inoperable, one unit with a smoke detector malfunction (didn't test) and slow drain in another unit. Items cleared.
MSHA-RUP-1358	6490	1,500,000	36	32	4/30/2020	7/17/2018	8/1/2018	LHHC/FedHOME project. Tenant file review identified no concerns. Property inspection noted two minor violations - inoperable drain stopper in a unit and inoperable rear burners on a stove in another unit. Items fixed.
MSHA-RUP-1294h	5958	\$1,300,660.00	120	9	12/1/2021	6/25/2018	6/28/2017	Sec 8/LHHC/FedHOME project. Annual review of the project required for the Section 8 program. No concerns noted with HOME eligibility. No inspection required in 2018.
MSHA-CHDO-1161	4752	236,150	38	32	6/7/2024	11/8/2018	11/7/2017	Management review and inspection not concurrent - in offset years. Tenant file review noted some concerns - lease did not contain the prohibited lease language, self certs missing for a couple years for one HOME tenant. Currently working with management to correct these issues.
MSHA-RUP-1315	6387	\$396,456.00	26	18	2/1/2025	4/9/2018	4/23/2018	LHHC/FedHOME project. Tenant file review identified no concerns. Inspection identified tenant caused tripping hazard in a unit and stained carpets in 2 units. Items cleared.
MSHA-RUP-1289	5778	\$2,360,000.00	34	24	5/6/2019	12/6/2018	12/17/2018	LHHC/FedHOME project. Tenant file review identified no concerns. Property inspection found the following issues: Inoperable stopper in wash basin (2 units), exhaust fan not operating properly (1 unit), tenant caused blocked egress (1 unit) and tenant caused tripping hazard in 1 unit. Awaiting response on clearance of these items.
MSHA-RUP-1234	5513	\$399,900.00	29	6	9/11/2018	12/20/2018	1/20/2018	LHHC/FedHOME project. Tenant file review identified no concerns. Property inspection identified slow drains in two units and one broken toilet seat. Items cleaned.
MSHA-MOFA-954	3042	\$52,196.00	4	2	8/30/2019	7/30/2018	7/11/2018	Limited scope review conducted in 2018 to ensure tenants meet eligibility criteria. No concerns noted. Property inspection identified tenant caused multiple egress concerns in one unit; deteriorated paving and trash/debris scattered on the grounds. Egress and debris concerns corrected. Working with property to resolve paving issues.
MS25-0168-837	118	\$35,000.00	2	2	7/1/2019	1/5/2015	5/5/2018	Owner/Manager unresponsive to attempts to complete a tenant file review and reporting for last several years has been delinquent. On watch list for possible legal action. Attempting to ensure met affordability before affordability restrictions expire. Property inspection identified the following noted concerns - inoperable sink stopper, missing radiator cover posing potential cutting hazard, missing switch plate covers, boiler relief valve leaking. Management not responsive to findings. A follow up review scheduled for early 2019.
MSHA-SHP-1185	5970	\$250,000.00	3	3	8/30/2019	4/29/2016	6/12/2018	Tenant file review and inspection performed in off years. Tenant file review on tap for 2019. Property inspection identified a few minor violations - chipping/pooling paint on handicapped railing and deterioration of mortar on foundation walls. Items not yet cleared due to weather conditions.

Mainhousing
2018 Fed-HOME Monitoring Activities

Proj/Unit	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR date	PFI date	Summary of Issues/Concerns
MSHA-SHP-1088	4104	\$85,000.00	3	3	10/17/2021	8/22/2018	8/24/2018	Limited scope review conducted in 2018 to ensure tenants meet eligibility criteria. No concerns noted. Property inspection identified one concern regarding support posts not being properly secured to the deck block. Working with management to correct this hazard.
MSHA-CHDO-1127	4325	\$128,000.00	6	4	12/21/2020	2/3/2018	6/15/2018	Limited scope review conducted in 2018 to ensure tenants meet eligibility criteria. No concerns noted. Property inspection identified no violations.
MSHA-SHP-855	544	\$40,000.00	4	4	8/27/2026	3/2/2018	8/29/2018	Limited scope review conducted in 2018 to ensure tenants meet eligibility criteria. No concerns noted. Property inspection identified no violations.
MSHA-SHP-1181	5143	\$234,000.00	4	4	12/28/2041	1/27/2018	3/28/2018	Limited scope review conducted in 2018 to ensure tenants meet eligibility criteria. No concerns noted. Property inspection noted no concerns.
MSHA-CHDO-1008	2002	\$210,000.00	30	5	7/7/2020	9/28/2018	6/8/2018	Limited scope review conducted in 2018 to ensure tenants meet eligibility criteria. No concerns noted. Property inspection noted a few minor concerns - water damage to ceiling tiles in common hallway and to wall in one of the units. Items cleaned.
MS25-0034-789	30	\$175,000.00	11	10	2/9/2019	1/9/2015	12/3/2018	Owner/Manager unresponsive to attempts to complete a tenant file review and reporting for last several years has been delinquent. On watch list for possible legal action. Attempting to ensure met affordability before affordability restrictions expire. Property inspection identified the following noted concerns - entry doors could not lock, emergency lighting in common areas not working properly and a missing stopper in a basin in one unit. Currently awaiting response.
MSHA-BLP-1617	10264	\$986,758.00	62	7	12/8/2045	12/28/2018	11/14/2018	Tax Credit/FedHOME/Sec 8 project. Initial review. No findings with tenant eligibility however, Tenant income certification forms do not properly identify a unit as FedHOME. Awaiting response from management. Property inspection identified the following violations: deteriorated flooring, missing ceiling tiles, outdated inspection tags on equipment, inoperable exhaust fan, damaged toilet, missing drain stopper, torn screen, missing gasket, mold like substance around toilet bowl with no visible leak. Awaiting response from management.
MSHA-BLP-1532	10596	\$1,350,000.00	90	11	08-Apr-46	12/3/2018	11/29/2018	Tax Credit/FedHOME/Sec 8 project. Initial review. No findings with tenant eligibility. Property inspection identified the following violations: Smoke/Carbon alarm combo does not sound when tested, GFI not working properly, tenant caused call-to-aid blocked. Awaiting response from management.

HUD ESG CAPER 2018

3/12/2019

Sage: Reports: HUD ESG CAPER



HUD ESG CAPER

Grant: **ESG: Maine Nonentitlement - ME - Report** Type: **CAPER**

Report Date Range

1/1/2018 to 12/31/2018

Q01a. Contact Information

First name	Paula
Middle name	M
Last name	Weber
Suffix	
Title	Compliance Officer
Street Address 1	363 Water Street
Street Address 2	
City	Augusta
State	Maine
ZIP Code	04330
E-mail Address	pweber@mainehousing.org
Phone Number	(207)626-4800
Extension	4619
Fax Number	

001b. Grant Information

As of 3/1/2019

ESG information from IDs

FISCAL YEAR	GRANT NUMBER	CURRENT AUTHORIZED AMOUNT	TOTAL DRAWN	BALANCE	OBLIGATION DATE	EXPENDITURE DEADLINE
2018	E18DC230001	\$1,303,506.00	\$1,205,743.05	\$97,762.95	8/22/2018	9/22/2020
2017	E17CC230001	\$1,211,285.00	\$1,311,285.00	\$0	9/22/2017	9/22/2019
2016	E16DC230001	\$1,322,743.00	\$1,322,743.00	\$0	7/22/2016	7/22/2018
2015	E15DC230001	\$1,329,400.00	\$1,329,400.00	\$0	6/24/2015	6/24/2017
2014	E14DC230001	\$1,235,790.00	\$1,235,790.00	\$0	6/4/2014	6/4/2016
2013	E13CC230001	\$1,051,868.00	\$1,051,868.00	\$0	8/5/2013	8/5/2015
2012	E12DC230001	\$1,381,110.00	\$1,381,110.00	\$0	1/15/2012	1/15/2015
2011	E11DC230001	\$1,205,159.00	\$1,205,159.00	\$0	7/24/2012	7/24/2014
Total		\$10,190,861.00	\$10,043,069.05	\$97,762.95		

CAPER reporting includes funds used from fiscal year:

Project types carried out during the program year:

Enter the number of each type of projects funded through ESG during this program year:

Street Outreach	0
Emergency Shelter	37
Transitional Housing (grandfathered under ES)	0
Day Shelter (funded under ES)	0
Rapid Re-Housing	37
Homelessness Prevention	0

001c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS, entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparable database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Q04a: Project Identifiers in HMIS

Organization Name	RGH - Rumford Group Homes, Inc
Organization ID	27
Project Name	RGH - Rumford Family Center Shelter
Project ID	473
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	MbnDHKYISf
Project name (user-specified)	RGH - Rumford Family Center Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	RGH - Rumford Group Homes, Inc
Organization ID	27
Project Name	RGH - Norway Family Center
Project ID	201
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	mIXhBPgkbl
Project name (user-specified)	RGH - Norway Family Center
Project type (user-specified)	Emergency Shelter
Organization Name	RGH - Rumford Group Homes, Inc
Organization ID	27
Project Name	RGH - Rumford Family Center Monier
Project ID	977
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	97E9hdboCH
Project name (user-specified)	RGH - Rumford Family Center Monier
Project type (user-specified)	Emergency Shelter
Organization Name	Next Step Domestic Violence Project
Organization ID	DV258
Project Name	Next Step Shelter
Project ID	DV258
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	0
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	oWKSj3h2Qw
Project name (user-specified)	Next Step - Safe Homes (DV)

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	Tedford Housing
Organization ID	299
Project Name	Tedford - Adult Shelter
Project ID	30
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	xgVBvRxBEE
Project name (user-specified)	Tedford - Adult Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Tedford Housing
Organization ID	299
Project Name	Tedford - Family Shelter
Project ID	60
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	vSMwGhAJeU
Project name (user-specified)	Tedford - Family Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Hope and Justice Project
Organization ID	HJP2
Project Name	CA Shelter
Project ID	1
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	1
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	d5w4oxlIP2
Project name (user-specified)	Hope and Justice Project - Caribou Shelter (DV)
Project type (user-specified)	Emergency Shelter
Organization Name	Hope and Justice Project
Organization ID	HJP3
Project Name	Houlton Shelter
Project ID	1
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	1
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	QweYgRu2o1
Project name (user-specified)	Hope and Justice Project - Houlton

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Project type (user-specified)	Emergency Shelter
Organization Name	Hope and Justice Project
Organization ID	HJP
Project Name	Fort Kent Shelter
Project ID	1
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	1
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	J5bY2PbKyG
Project name (user-specified)	Hope and Justice Project - St John Valley
Project type (user-specified)	Emergency Shelter
Organization Name	Western Maine Homeless Outreach
Organization ID	888
Project Name	Western Maine Homeless Outreach - Emergency Shelter
Project ID	888
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	1QqtgZW9HG
Project name (user-specified)	Western Maine Homeless Outreach - Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Mid-Maine Homeless Shelter Inc
Organization ID	300
Project Name	Mid-Maine Homeless Shelter - Emergency Shelter
Project ID	25
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	VkqDZpEMTO
Project name (user-specified)	Mid-Maine Homeless Shelter - Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	YCSP1 - Family Emergency Shelter
Organization ID	990
Project Name	YCSP1 - Family Emergency Shelter
Project ID	990
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	pcxyVkB0BVH
Project name (user-specified)	YCSP1 - Family Emergency Shelter

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	New Beginnings Inc.
Organization ID	95
Project Name	New Beginnings Inc. - Emergency Shelter
Project ID	110
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	9oZtFu8IS
Project name (user-specified)	New Beginnings Inc. - Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	BAHS - Bangor Area Homeless Shelter Inc
Organization ID	295
Project Name	BAHS - Emergency Shelter
Project ID	19
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	BeySpi7sGk
Project name (user-specified)	BAHS - Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Rural Community Action Ministry Inc
Organization ID	302
Project Name	Rural Community Action Ministry - Homeless Shelter
Project ID	28
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	Kosav6oDd
Project name (user-specified)	Rural Community Action Ministry - Homeless Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	York County Shelter Programs, Inc.
Organization ID	122
Project Name	YCSP1 - York County Adult Shelter
Project ID	123
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	nLWSFFThIF
Project name (user-specified)	YCSP1 - York County Adult Shelter

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	Homeless Services of Aroostook Inc
Organization ID	303
Project Name	HSA - Sister Mary O'Donnell Shelter
Project ID	24
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	Gmam0S6odZ
Project name (user-specified)	HSA - Sister Mary O'Donnell Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Penobscot Community Health Center Inc
Organization ID	479
Project Name	PCHC Hope House - Emergency Shelter
Project ID	480
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	nq6Va6MGb6
Project name (user-specified)	PCHC Hope House - Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	HOME Inc
Organization ID	23
Project Name	Emmaus Homeless Shelter
Project ID	22
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	JeeSrmKAT3
Project name (user-specified)	Home Inc - Emmaus Homeless Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Safe Voices
Organization ID	(DV)(248)
Project Name	Annie Pearl
Project ID	(DV)(X)
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	1
Identify the Project ID's of the Housing Projects this Project is Affiliated with	(DV)(271)
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	FFsNZayqZI
Project name (user-specified)	Safe Voices - Annie Pearl Shelter (DV)

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	Preble Street
Organization ID	57
Project Name	Preble Street - Florence House ES
Project ID	1023
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	pXcJeCfmBT
Project name (user-specified)	Preble Street - Florence House Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Preble Street
Organization ID	57
Project Name	Preble Street - Joe Kreisler Teen Shelter
Project ID	58
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	FixuQYaqN
Project name (user-specified)	Preble Street - Joe Kreisler Teen Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Shaw House
Organization ID	70
Project Name	Shaw House - Emergency Youth Shelter
Project ID	72
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	pxISndRNRv
Project name (user-specified)	Shaw House - Emergency Youth Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Family Violence Project
Organization ID	1234
Project Name	Kennebec Shelter
Project ID	1
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	0
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	Kaa7epGKcj
Project name (user-specified)	Family Violence Project - Augusta Shelter (DV)

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	Family Violence Project
Organization ID	123
Project Name	Somerset Shelter
Project ID	1
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	0
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	woQntpUM2d
Project name (user-specified)	Family Violence Project - Somerset Shelter (DV)
Project type (user-specified)	Emergency Shelter
Organization Name	Caring Unlimited
Organization ID	000
Project Name	Audrey's House
Project ID	000
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	0
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	Anq5XdXHa2
Project name (user-specified)	Caring Unlimited - Emergency Shelter (DV)
Project type (user-specified)	Emergency Shelter
Organization Name	City of Portland
Organization ID	231
Project Name	City of Portland - Oxford Street Night-by-Night (NBN)
Project ID	1043
HMIS Project Type	1
Method of Tracking ES	3
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	JYbkhN5zgh
Project name (user-specified)	City of Portland NbN
Project type (user-specified)	Emergency Shelter
Organization Name	Knox/Waldo Homeless Coalition
Organization ID	602
Project Name	Knox/Waldo Homeless Coalition - Hospitality House
Project ID	838
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	esdIHusdn9
Project name (user-specified)	Knox/Waldo Homeless Coalition - Hospitality House

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	City of Portland
Organization ID	231
Project Name	City of Portland - Oxford Street Shelter
Project ID	232
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	uu2qcTbBkl
Project name (user-specified)	City of Portland - Oxford Street Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	City of Portland
Organization ID	231
Project Name	City of Portland - Family Shelter
Project ID	234
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	wgJKupfgAF
Project name (user-specified)	City of Portland - Family Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	Partners for Peace
Organization ID	259
Project Name	Partners for Peace Dv Shelter
Project ID	435
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	0
Identify the Project ID's of the Housing Projects this Project is Affiliated with	0
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	KoxdRP3rns
Project name (user-specified)	Partners for Peace - Safe Homes (DV)
Project type (user-specified)	Emergency Shelter
Organization Name	Bread of Life Ministries
Organization ID	20
Project Name	Bread of Life - Emergency Shelter
Project ID	42
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 5) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	e1cdCdXED
Project name (user-specified)	Bread of Life - Emergency Shelter

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	HOME Inc
Organization ID	23
Project Name	Home Inc - Sister Marie House Emergency Shelter
Project ID	768
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	DKHTS1ePd4
Project name (user-specified)	Home Inc - Duplex Oriand Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	HOME Inc
Organization ID	23
Project Name	Home Inc - Dorr House Emergency Shelter
Project ID	63
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	Vz1bpW5e3Y
Project name (user-specified)	Home Inc - Dorr House Emergency Shelter
Project type (user-specified)	Emergency Shelter
Organization Name	HOME Inc
Organization ID	23
Project Name	Home Inc - St Francis Inn
Project ID	119
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	K65kR7B24f
Project name (user-specified)	Home Inc - St Francis Inn
Project type (user-specified)	Emergency Shelter
Organization Name	THROUGH THESE DOORS
Organization ID	
Project Name	THROUGH THESE DOORS - Emergency Shelter
Project ID	
HMIS Project Type	1
Method of Tracking ES	
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	Yes
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	pZNtn3Eyp
Project name (user-specified)	Through These Doors

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Sage: Reports: HUD ESG CAPER

Project type (user-specified)	Emergency Shelter
Organization Name	Milestone Recovery
Organization ID	164
Project Name	Milestone - Substance Abuse Shelter
Project ID	421
HMIS Project Type	1
Method of Tracking ES	0
Is the Services Only (HMIS Project Type 6) affiliated with a residential project?	
Identify the Project ID's of the Housing Projects this Project is Affiliated with	
CSV Exception?	No
Uploaded via emailed hyperlink?	Yes
Email unique ID record link	ee7UHkk2Cm
Project name (user-specified)	Milestone - Substance Abuse Shelter
Project type (user-specified)	Emergency Shelter

Q05a: Report Validations Table

Total Number of Persons Served	7056
Number of Adults (Age 18 or Over)	5819
Number of Children (Under Age 18)	1234
Number of Persons with Unknown Age	3
Number of Leavers	6041
Number of Adult Leavers	5028
Number of Adult and Head of Household Leavers	5100
Number of Stayers	910
Number of Adult Stayers	715
Number of Veterans	358
Number of Chronically Homeless Persons	1428
Number of Youth Under Age 25	824
Number of Parenting Youth Under Age 25 with Children	66
Number of Adult Heads of Household	5600
Number of Child and Unknown-Age Heads of Household	83
Heads of Households and Adult Stayers in the Project 365 Days or More	2

Q06a: Data Quality: Personally Identifying Information (PII)

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	% of Error Rate
Name	1	0	0	0.01 %
Social Security Number	961	463	12	20.63 %
Date of Birth	3	1	1	0.07 %
Race	58	6	0	0.91 %
Ethnicity	31	2	0	0.47 %
Gender	1	1	0	0.03 %

Overall Score

Q06b: Data Quality: Universal Data Elements

	Error Count	% of Error Rate
Veteran Status	13	0.22 %
Project Start Date	0	0.00 %
Relationship to Head of Household	26	0.37 %
Client Location	0	0.00 %
Disabling Condition	81	1.15 %

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Q06c: Data Quality: Income and Housing Data Quality

	Error Count	% of Error Rate
Destination	23	0.38 %
Income and Sources at Start	105	1.87 %
Income and Sources at Annual Assessment	0	0.00 %
Income and Sources at Exit	73	1.43 %

Q06d: Data Quality: Chronic Homelessness

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	5928	0	0	47	218	382	7.13 %
TH	0	0	0	0	0	0	--
PH (All)	0	0	0	0	0	0	--
Total	5928	0	0	0	0	0	7.13 %

Q06e: Data Quality: Timeliness

	Number of Project Start Records	Number of Project Exit Records
0 days	2555	2283
1-3 Days	2415	2059
4-6 Days	855	568
7-10 Days	313	344
11+ Days	369	787

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	16	16	100.00 %
Bed Night (All Clients in ES - NBN)	16	45	281.25 %

Q07a: Number of Persons Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	5819	4982	836	0	0
Children	1234	0	1124	84	0
Client Doesn't Know/ Client Refused	3	0	1	0	2
Data Not Collected	0	0	0	0	0
Total	7056	4982	1961	84	2

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	5682	4946	664	80	2

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	721	604	110	7	0
April	724	606	107	12	0
July	709	588	114	7	0
October	705	583	113	9	0

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Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	88	0	88	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	193	0	88	0

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	84	0	84	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	189	0	84	0
Rate of Engagement	100.95	0.00	0.95	0.00

Q10a: Gender of Adults

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	3505	3290	215	0
Female	2269	1651	619	0
Trans Female (MTF or Male to Female)	23	23	0	0
Trans Male (FTM or Female to Male)	13	11	2	0
Gender Non-Conforming (i.e. not exclusively male or female)	7	7	0	0
Client Doesn't Know/Client Refused	1	0	0	1
Data Not Collected	1	1	0	0
Subtotal	5819	4983	836	1

Q10b: Gender of Children

	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	649	591	44	0
Female	584	533	39	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	1	0	1	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Subtotal	1234	1124	84	0

Q10c: Gender of Persons Missing Age Information

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	3	0	1	0	2
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Subtotal	3	0	1	0	2

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	4154	649	404	2910	191	0	0
Female	2855	584	321	1847	101	3	0
Trans Female (MTF or Male to Female)	23	0	11	9	3	0	0
Trans Male (FTM or Female to Male)	13	0	9	4	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	8	1	3	4	0	0	0
Client Doesn't Know/Client Refused	1	0	0	1	0	0	0
Data Not Collected	1	0	0	1	0	0	0
Subtotal	7056	1234	748	4776	296	3	0

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	508	0	493	3	0
5 - 12	518	0	504	2	0
13 - 17	208	0	127	79	0
18 - 24	748	662	86	0	0
25 - 34	1583	1200	383	0	0
35 - 44	1482	1200	282	0	0
45 - 54	1173	1098	75	0	0
55 - 61	538	531	7	0	0
62+	295	292	3	0	0
Client Doesn't Know/Client Refused	3	0	1	0	2
Data Not Collected	0	0	0	0	0
Total	7056	4983	1961	84	2

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	5142	4020	1045	64	13
Black or African American	1407	615	775	15	2
Asian	26	21	5	0	0
American Indian or Alaska Native	107	94	13	0	0
Native Hawaiian or Other Pacific Islander	17	17	0	0	0
Multiple Races	278	180	95	3	0
Client Doesn't Know/Client Refused	73	39	22	1	11
Data Not Collected	6	0	5	1	0
Total	7056	4986	1960	84	26

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Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	6739	4770	1875	79	15
Hispanic/Latino	262	191	65	3	3
Client Doesn't Know/Client Refused	53	21	20	1	11
Data Not Collected	2	1	0	1	0
Total	7056	4983	1960	84	29

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	2535	2222	295	17	1
Alcohol Abuse	698	675	23	0	0
Drug Abuse	487	421	65	1	0
Both Alcohol and Drug Abuse	559	528	26	5	0
Chronic Health Condition	1087	947	138	2	0
HIV/AIDS	33	30	3	0	0
Developmental Disability	494	390	107	7	0
Physical Disability	1313	1195	116	2	0

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	2189	1959	215	15	0
Alcohol Abuse	612	604	8	0	0
Drug Abuse	405	373	31	1	0
Both Alcohol and Drug Abuse	485	466	13	6	0
Chronic Health Condition	912	808	102	2	0
HIV/AIDS	29	26	3	0	0
Developmental Disability	427	326	94	7	0
Physical Disability	1108	1015	92	1	0

Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	316	259	55	2	0
Alcohol Abuse	69	67	2	0	0
Drug Abuse	54	43	11	0	0
Both Alcohol and Drug Abuse	70	66	4	0	0
Chronic Health Condition	145	123	22	0	0
HIV/AIDS	3	3	0	0	0
Developmental Disability	65	45	18	2	0
Physical Disability	186	168	17	1	0

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	1797	1441	322	32	2
No	3708	3169	505	34	0
Client Doesn't Know/Client Refused	18	12	3	3	0
Data Not Collected	379	361	7	11	0
Total	5902	4983	837	80	2

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	630	439	185	4	2
No	1089	933	129	27	0
Client Doesn't Know/Client Refused	7	6	1	0	0
Data Not Collected	70	62	7	1	0
Total	1796	1440	322	32	2

Q15: Living Situation

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	891	767	112	10	2
Transitional housing for homeless persons (including homeless youth)	20	20	0	0	0
Place not meant for habitation	1928	1642	281	5	0
Safe Haven	80	48	31	1	0
Interim Housing	23	17	6	0	0
Subtotal	2864	2468	380	16	0
Institutional Settings	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	177	174	0	3	0
Substance abuse treatment facility or detox center	107	104	2	1	0
Hospital or other residential non-psychiatric medical facility	155	147	6	2	0
Jail, prison or juvenile detention facility	234	205	25	4	0
Foster care home or foster care group home	12	5	0	7	0
Long-term care facility or nursing home	3	3	0	0	0
Residential project or halfway house with no homeless criteria	22	21	0	1	0
Subtotal	710	659	33	18	0
Other Locations	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	7	7	0	0	0
Owned by client, no ongoing housing subsidy	31	23	8	0	0
Owned by client, with ongoing housing subsidy	6	6	0	0	0
Rental by client, no ongoing housing subsidy	219	172	47	0	0
Rental by client, with VASH subsidy	11	11	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy (including RRH)	50	42	8	0	0
Hotel or motel paid for without emergency shelter voucher	196	176	20	0	0
Staying or living in a friend's room, apartment or house	1077	879	181	17	0
Staying or living in a family member's room, apartment or house	603	471	105	27	0
Client Doesn't Know/Client Refused	23	18	5	0	0
Data Not Collected	10	8	0	2	0
Subtotal	2233	1813	374	46	0
Total	5901	4982	837	80	2

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	1751	1	1631
WIC	120	0	129
TANF Child Care Services	27	0	28
TANF Transportation Services	13	0	10
Other TANF-Funded Services	19	0	18
Other Source	95	0	119

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	2561	3	2323
Medicare	837	0	734
State Children's Health Insurance Program	31	0	25
VA Medical Services	94	0	88
Employer Provided Health Insurance	33	0	32
Health Insurance Through COBRA	4	0	4
Private Pay Health Insurance	86	0	72
State Health Insurance for Adults	86	0	77
Indian Health Services Program	23	0	23
Other	136	0	129
No Health Insurance	3471	0	2933
Client Doesn't Know/Client Refused	14	0	10
Data Not Collected	235	0	163
Number of Stayers Not Yet Required to Have an Annual Assessment	0	907	0
1 Source of Health Insurance	2768	3	2514
More than 1 Source of Health Insurance	591	0	518

Q22a2: Length of Participation – ESG Projects

	Total	Leavers	Stayers
0 to 7 days	3545	3223	315
8 to 14 days	488	415	68
15 to 21 days	328	274	46
22 to 30 days	373	298	67
31 to 60 days	856	689	156
61 to 90 days	577	452	105
91 to 180 days	693	524	126
181 to 365 days	183	156	24
366 to 730 days (1-2 Yrs)	13	10	3
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	7056	6041	910

Q22c: Length of Time between Project Start Date and Housing Move-in Date (post 10/1/2018)

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	0	0	0	0	0
8 to 14 days	0	0	0	0	0
15 to 21 days	0	0	0	0	0
22 to 30 days	0	0	0	0	0
31 to 60 days	0	0	0	0	0
61 to 180 days	0	0	0	0	0
181 to 365 days	0	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	0	0	0	0	0
Average length of time to housing	--	--	--	--	--
Persons who were exited without move-in	0	0	0	0	0
Total persons	0	0	0	0	0

Q22c: RRRH Length of Time between Project Start Date and Housing Move-in Date (pre 10/1/2018)

Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
- no data -				

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	3545	3348	144	46	0
8 to 14 days	488	321	152	10	0
15 to 21 days	328	214	97	9	0
22 to 30 days	373	209	148	8	0
31 to 60 days	856	368	472	5	0
61 to 90 days	577	242	313	2	0
91 to 180 days	693	213	434	3	0
181 to 365 days	183	37	143	0	0
366 to 730 days (1-2 Yrs)	13	4	8	1	0
731 to 1,095 days (2-3 Yrs)	0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	7056	4956	1911	84	0

Q23a: Exit Destination – More Than 90 Days

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	0	0	0	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Staying or living with family, permanent tenure	0	0	0	0	0
Staying or living with friends, permanent tenure	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Subtotal	0	0	0	0	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	0	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	0	0	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
Subtotal	0	0	0	0	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal	0	0	0	0	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	0	0	0	0	0
Subtotal	0	0	0	0	0
Total	0	0	0	0	0
Total persons exiting to positive housing destinations	0	0	0	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	--	--	--	--	--

Q23b: Exit Destination – 90 Days or Less

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	0	0	0	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	0	0	0	0	0
Permanent housing (other than RRH) for formerly homeless persons	0	0	0	0	0
Staying or living with family, permanent tenure	0	0	0	0	0
Staying or living with friends, permanent tenure	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Subtotal	0	0	0	0	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	0	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	0	0	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
Subtotal	0	0	0	0	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal	0	0	0	0	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	0	0	0	0	0
Subtotal	0	0	0	0	0
Total	0	0	0	0	0
Total persons exiting to positive housing destinations	0	0	0	0	0
Total persons whose destinations excluded them from the calculation	0	0	0	0	0
Percentage	--	--	--	--	--

Q23c: Exit Destination – All persons

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	28	6	22	0	0
Owned by client, with ongoing housing subsidy	5	1	4	0	0
Rental by client, no ongoing housing subsidy	764	192	572	0	0
Rental by client, with VASH housing subsidy	15	7	8	0	0
Rental by client, with GPD TIP housing subsidy	2	2	0	0	0
Rental by client, with other ongoing housing subsidy	821	358	459	4	0
Permanent housing (other than RRH) for formerly homeless persons	57	36	21	0	0
Staying or living with family, permanent tenure	227	117	86	24	0
Staying or living with friends, permanent tenure	129	78	49	2	0
Rental by client, with RRH or equivalent subsidy	17	13	4	0	0
Subtotal	2065	810	1225	30	0
Temporary Destinations	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	1667	1634	30	3	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	95	69	21	5	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	176	114	57	5	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	394	304	74	16	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	565	557	7	1	0
Safe Haven	12	7	5	0	0
Hotel or motel paid for without emergency shelter voucher	60	33	27	0	0
Subtotal	2959	2715	214	30	0
Institutional Settings	0	0	0	0	0
Foster care home or group foster care home	14	2	10	2	0
Psychiatric hospital or other psychiatric facility	22	20	0	2	0
Substance abuse treatment facility or detox center	33	32	0	1	0
Hospital or other residential non-psychiatric medical facility	61	59	2	0	0
Jail, prison, or juvenile detention facility	48	43	4	1	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal	178	156	16	6	0
Other Destinations	0	0	0	0	0
Residential project or halfway house with no homeless criteria	7	6	0	1	0
Deceased	3	3	0	0	0
Other	125	83	42	0	0
Client Doesn't Know/Client Refused	67	42	24	1	0
Data Not Collected (no exit interview completed)	626	562	56	8	0
Subtotal	827	696	121	10	0
Total	6041	4380	1585	76	0
Total persons exiting to positive housing destinations	2065	810	1225	30	0
Total persons whose destinations excluded them from the calculation	78	64	12	2	0
Percentage	34.63 %	18.77 %	77.88 %	40.54 %	--

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless – moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	0	0	0	0	0

Q25a: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	103	102	1	0
Non-Chronically Homeless Veteran	255	245	10	0
Not a Veteran	5374	4601	773	0
Client Doesn't Know/Client Refused	9	6	3	0
Data Not Collected	2	2	0	0
Total	5743	4956	787	0

Q26b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	1428	1325	100	3	0
Not Chronically Homeless	5161	3613	1475	71	2
Client Doesn't Know/Client Refused	29	24	5	0	0
Data Not Collected	438	53	375	10	0
Total	7056	5015	1965	84	2

Section 3 Reports 2018



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons
U.S. Department of Housing and Urban Development
 Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
 (exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$848,764.64
Contact Person:	Paula Weber
Date Report Submitted:	01/10/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/18	12/31/18	LBA	Lead-Based Paint Abatement

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$1,255,199.00
Contact Person:	Paula Weber
Date Report Submitted:	03/05/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/18	12/31/18	EMRG	Emergency Shelter Grants

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

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Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$991,482.15
Contact Person:	Paula Weber
Date Report Submitted:	03/07/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/18	12/31/18	SNAP	Special Needs Assistance

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

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Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$773,895.10
Contact Person:	Paula Weber
Date Report Submitted:	03/18/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/18	12/31/18	HTF	CPD's Integrated Disb & Inf System

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

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Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing and Urban Development

Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 04330

Dollar Amount:	\$1,978,090.20
Contact Person:	Paula Weber
Date Report Submitted:	03/18/2019

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/18	12/31/18	HOME	HOME Program

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.

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U.S. Department of Housing and Urban Development
Secretary Ann Helden



Section 3 Summary Annual Reporting System - Form 60002

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OMB Approval No. 2528-0048 (exp. 10/30/2018)

Section 3 Report Summary and Certification

Disbursement Agency

MAINE HUMAN RIGHTS COMMISSION (01 - 600001)
51 State House Station
Augusta, ME 04333

Reporting Entity

MAINE HUMAN RIGHTS COMMISSION
8 Penley Street
Sedell Park, ME 04281

Contact Person

Name: Tarry Ann Holden
Phone Number: (207) 624-9814
Fax Number: (207) 287-6070
Email Address: Tarryann.Holden@maine.gov

Disbursement Details

Reporting Period: 01/01/2018 through 12/31/2018
Program Area: Community Development Block Grants (CDBG)
Award: \$ 11,320,566.67
Total Amount of All Contracts Awarded: \$ 3,079,866.00
Total Amount of Section 3 Contracts Awarded: \$ 0.00

Employment Opportunities

Total Number of New Hires: 0
Number of Section 3 New Hires: 0
Percent: N/A
Goal: Section 3 new hire goal of 30% is not applicable.

Construction Opportunities

Total Amount of Construction Contracts Awarded: \$ 2,078,000.00
Amount of Construction Contracts Awarded To Section 3 Businesses: \$ 0.00
Percent: 0.0%
Number of Section 3 Construction Contracts: 0
Goal: Failed to meet the Section 3 construction contracting goal of 10%.



Non-Construction Opportunities

Total Amount of Non-Construction Contracts Awarded: \$ 0.00
Amount of Non-Construction Contracts Awarded To Section 3 Businesses: \$ 0.00
Percent: N/A
Number of Section 3 Non-Construction Contracts: 0
Goal: Section 3 non-construction contracting goal of 3% is not applicable.

Efforts to Comply

Attempted to recruit low-income residents through local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.	Yes
Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.	No
Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.	No
Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.	No

Other efforts to comply

We had a mandated two-day training in August for all grantees (August 7 & 8) where we went over contracting compliance and procurement issues. Grantees also were briefed on the subject matter. The issue that we have is that many of these jobs are large enough to trigger Section 3, but the contractors who win the bid are ones who have set news that they are trying to keep busy. Hence, there is no new hiring. The State has few Section 3 businesses listed in the HUD database; a cleaning company and lead hazard company only in Southern Maine. There is a housing agency in Northern Maine.

Certification Statement

By submitting this form, you certify that the statements and information contained on this form are true and accurate, and meet the reporting requirements for Section 3 of the Housing and Urban Development Act of 1968 as set forth at 24 CFR § 155. Any false, fictitious, or fraudulent statements or omissions may subject you to HUD sanctions, termination of Section 3 covered activities, and debarment or suspension from future Section 3 covered activities. It may also subject you to criminal, civil, or administrative penalties under applicable Federal statutes (33 U.S.C. § 1001).

If any of the above information needs to be corrected, use the Back to Compliance button to step backward through the input screens. Alternatively, if you need to return to this report before submitting, you may edit this report in saved and resume it at a later time from the in progress reports.

U.S. Department of Housing and Urban Development
 451 7th Street, N.W., Washington, DC 20410
 Telephone: (202) 708-1112 TTY: (202) 738-1465
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