



# Consolidated Annual Performance and Evaluation Report

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Plan Year 2020

Prepared by  
Maine State Housing Authority  
Maine Department of Economic and Community Development  
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[mainehousing.org](http://mainehousing.org) | 207-626-4600

# Contents

CR-05 - Goals and Outcomes .....	1
CR-10 - Racial and Ethnic composition of families assisted .....	5
CR-15 - Resources and Investments 91.520(a).....	6
CR-20 - Affordable Housing 91.520(b) .....	11
CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c).....	13
CR-30 - Public Housing 91.220(h); 91.320(j).....	14
CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j).....	15
CR-40 - Monitoring 91.220 and 91.230 .....	17
CR-45 - CDBG 91.520(c) .....	18
CR-50 - HOME 91.520(d).....	19
CR-56 - HTF 91.520(h) .....	20
CR-60 - ESG 91.520(g) (ESG Recipients only) .....	21
CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes .....	28
CR-75 – Expenditures .....	28
Attachments.....	31
2020 AI Results .....	32
Multifamily Monitoring 2020.....	41
HUD SAGE HMIS CAPER.....	44
2020 Section 3 Reports.....	65

## **CR-05 - Goals and Outcomes**

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

This Maine Annual Performance Report describes the implementation of Maine's Consolidated Plan during 2020. The Consolidated Plan covers the use of HOME Investment Partnership Program (HOME), Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), Community Development Block Grant (CDBG), and leveraged funds for the period 1/1/2020 to 12/31/2020. Objectives and expected outcomes for CDBG, HOME, HTF and ESG have been identified through the Consolidated Plan process. The Annual Action Plan addresses how objectives are to be met each year.

During 2020 the Maine State Housing Authority (MaineHousing) administered the HOME, HTF and ESG funds. The Maine State Department of Economic and Community Development (DECD) administered the CDBG funds.

It is important to note that the state provides affordable housing and community development through a variety of assistance programs, many of which are funded through agency resources or state appropriations. In 2020 MaineHousing assisted more than 900 first time home buyers, 11,000 rental households, 1,000 homeowners (not including over 32,000 helped with energy assistance) and more than 5,100 homeless individuals. MaineHousing used funds to complete 13 multifamily projects, for a total of 328 units (188 for families, 120 for older adults and 20 supporting housing). In 2020, 15 projects were under construction (215 family units, 376 units for older adults and 5 supportive housing units).

The global COVID-19 pandemic significantly affected the outcomes contained in this report. However, progress has been made to address Consolidated Plan priorities and objectives. For example both the Facade Treatment/Business Building Rehabilitation and the Public Facility / Infrastructure Activities goal exceeded 2020 goals.

This report covers outcomes during the first year of the five year (2020-2024) Consolidated Plan.

### **Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
CDBG CARES Act CV 19	Affordable Housing Non-Housing Community Development	CDBG: \$ / CDBG-CV: \$15698000	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	20000	100	0.50%	20000	100	0.50%
CDBG CARES Act CV 19	Affordable Housing Non-Housing Community Development	CDBG: \$ / CDBG-CV: \$15698000	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	2000	1301	65.05%	2000	1301	65.05%
CDBG CARES Act CV 19	Affordable Housing Non-Housing Community Development	CDBG: \$ / CDBG-CV: \$15698000	Businesses assisted	Businesses Assisted	400	329	82.25%	600	329	54.83%
CDBG CARES Act CV 19	Affordable Housing Non-Housing Community Development	CDBG: \$ / CDBG-CV: \$15698000	Housing for Homeless added	Household Housing Unit	0	0		200	0	0.00%
Expand Affordable Housing Opportunities	Affordable Housing	HOME: \$ / HTF: \$	Rental units constructed	Household Housing Unit	900	65	7.22%	110	65	59.09%
Expand Affordable Housing Opportunities	Affordable Housing	HOME: \$ / HTF: \$	Rental units rehabilitated	Household Housing Unit	100	4	4.00%			

Homelessness Diversion	Homeless	ESG-CV: \$	Homeless Person Overnight Shelter	Persons Assisted	0	0		325	0	0.00%
Homelessness Diversion	Homeless	ESG-CV: \$	Homelessness Prevention	Persons Assisted	325	0	0.00%			
Improve and Preserve the Quality of Housing	Affordable Housing	CDBG: \$	Rental units rehabilitated	Household Housing Unit	300	43	14.33%	60	43	71.67%
Improve and Preserve the Quality of Housing	Affordable Housing	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	1500	161	10.73%	300	161	53.67%
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1000	4146	414.60%	200	4146	2,073.00%
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	60	31	51.67%	12	31	258.33%
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	300	25	8.33%	60	25	41.67%
Improve Economic Opportunity	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	50	9	18.00%	10	9	90.00%

Improve Public Infrastructure	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	5000	1346	26.92%	1000	1346	134.60%
Provide Rapid Re-Housing	Homeless	HOME: \$ / ESG: \$ / ESG-CV: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	700	177	25.29%	400	177	44.25%
Support Emergency Shelter Operations & Services	Homeless	ESG: \$ / ESG-CV: \$	Homeless Person Overnight Shelter	Persons Assisted	31000	4451	14.36%	8200	4451	54.28%
Support Emergency Shelter Operations & Services	Homeless	ESG: \$ / ESG-CV: \$	Other	Other	0	0		130	0	0.00%

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

The State of Maine CDBG program identified the highest priorities as Housing, Economic Development, and Public Infrastructure. For the program year 2020, over 90% of CDBG funding went to those three areas.

**CR-10 - Racial and Ethnic composition of families assisted**

Describe the families assisted (including the racial and ethnic status of families assisted).  
 91.520(a)

	<b>CDBG</b>	<b>HOME</b>	<b>ESG</b>	<b>HTF</b>
White	12,898	460	3,348	11
Black or African American	33	111	717	0
Asian	9	8	12	0
American Indian or American Native	17	2	69	0
Native Hawaiian or Other Pacific Islander	0	7	16	0
<b>Total</b>	<b>12,957</b>	<b>588</b>	<b>4,162</b>	<b>11</b>
Hispanic	0	27	160	2
Not Hispanic	12,957	561	4,255	9

**Table 2 – Table of assistance to racial and ethnic populations by source of funds**

**Narrative**

The CDBG, HOME and HTF data in the table above is populated from the HUD IDIS system; it does not include information on all activities covered by this report. For HOME and HTF, this racial and ethnic data is only from activities completed in 2020; many other individuals and families were assisted with HOME in 2020. Additionally, persons identifying as multi-racial are not included in the data above.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2020**, 16% of HOME assisted households were of a race other than White and 3% of the HOME assisted households were Hispanic.

Racial and ethnic data available for ESG households is reported by individual persons served through the ESG program. This information indicates that the majority of families assisted have self-reported as “White,” which comprise 76% of the total shelter population. This total does not include individuals with multiple race backgrounds and individuals assisted by ESG who did not provide race and ethnicity information. U.S. Census data projects that Maine’s population was 94% White in 2019, indicating that shelter guests reporting other races are overrepresented in homeless shelters funded by ESG.

The data in IDIS does not accurately reflect the racial and ethnic diversity of families assisted with the State of Maine CDBG funding.

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	11,954,085	8,849,408
HOME	public - federal	4,277,376	3,097,708
ESG	public - federal	1,390,914	1,523,156
HTF	public - federal	3,000,000	2,775,934
Other	public - federal	28,326,444	2,500,144

Table 3 - Resources Made Available

### Narrative

Funding that HUD provided to Maine for 2020 CDBG, HOME, HTF and ESG programs is shown above. Note that amounts may include funds from previous allocations.

For FY 2020, MaineHousing allocated a total of \$6,786,595.45 for the Operations, Stabilization, and Performance Shares of ESHAP. These funds assisted 36 homeless shelter programs which served 5,177 individuals and families who were homeless.

The combination of ESG, Maine Real Estate Transfer Tax fees, and State General funds were used to support homeless shelter providers with shelter operating expenses, relocation, and stabilization services.

The Operations Share was funded with \$643,297.73 of ESG funds and \$1,577,769.93 of State General funds and \$832,900.30 of State Home funds.

The Stabilization Share was funded with \$643,297.72 of ESG, \$922,230.07 of State General funds and \$1,488,440.15 of State Home funds.

The Performance Share was funded with \$678,659.55 of State Home funds.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
State Of Maine	100	100	State Of Maine

Table 4 – Identify the geographic distribution and location of investments

### Narrative

The State of Maine does not target particular geographical areas for special assistance.

In 2020 MaineHousing completed HOME Rental Housing in two Maine counties. ESG funds assisted shelters in eleven Maine counties.



## Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	45,679,205
2. Match contributed during current Federal fiscal year	572,453
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	46,251,658
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	46,251,658

**Table 5 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
10417	01/17/2020	211,081	187,712	0	0	0	0	398,792
2020.01.NO N	09/30/2020	173,661	0	0	0	0	0	173,661

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
519,027	355,865	362,494	353,466	519,398

Table 7 – Program Income

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Dollar Amount	0	0	0	0	0	0
Number	2	0	0	0	0	2
<b>Sub-Contracts</b>						
Number	0	0	0	0	0	0
Dollar Amount	7,967,220	0	0	0	0	7,967,220
	<b>Total</b>	<b>Women Business Enterprises</b>	<b>Male</b>			
<b>Contracts</b>						
Dollar Amount	7,967,220	0	7,967,220			
Number	2	0	2			
<b>Sub-Contracts</b>						
Number	0	0	0			
Dollar Amount	0	0	0			

**Table 8 - Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	2	0	0	0	0	2
Dollar Amount	7,967,220	0	0	0	0	7,967,220

**Table 9 – Minority Owners of Rental Property**

<b>Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition</b>		
Parcels Acquired	3	0
Businesses Displaced	0	0
Nonprofit Organizations Displaced	0	0

Households Temporarily Relocated, not Displaced		20		27,182		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

**CR-20 - Affordable Housing 91.520(b)**

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	140	177
Number of Non-Homeless households to be provided affordable housing units	110	69
Number of Special-Needs households to be provided affordable housing units	0	0
<b>Total</b>	<b>250</b>	<b>246</b>

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	140	177
Number of households supported through The Production of New Units	110	65
Number of households supported through Rehab of Existing Units	0	4
Number of households supported through Acquisition of Existing Units	0	0
<b>Total</b>	<b>250</b>	<b>246</b>

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

The one-year goal for HOME-funded programs is established in the 2020 Annual Action plan. HOME data in the table above is populated from the HUD IDIS system; it may not include information on all activities covered by this report. The data above is only from HOME activities completed in 2020; many other individuals and families were assisted with HOME in 2020. The global COVID-19 pandemic significantly affected the outcomes contained in this report. In 2020 MaineHousing did not meet the goals of Providing Rental Assistance, Rehabbing Existing Units or for Production of New Units. It is difficult to predict the exact breakdown of new units vs rehabbed units in multifamily housing production in a given year due to the application process. Note that in 2020, HOME funds were allocated to two projects that are under construction (these projects will produce a total of 106 new units). Data from these activities will be reported when they are completed. Additionally in 2020, HTF funds were allocated to four projects, and these projects will produce a total of 39 new affordable units.

**Discuss how these outcomes will impact future annual action plans.**

MaineHousing will consider these outcomes when establishing the goals in the 2022 Action Plan.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

<b>Number of Households Served</b>	<b>CDBG Actual</b>	<b>HOME Actual</b>	<b>HTF Actual</b>
Extremely Low-income	135	144	11
Low-income	37	42	
Moderate-income	32	1	
<b>Total</b>	<b>204</b>	<b>187</b>	

**Table 13 – Number of Households Served**

**Narrative Information**

According to the IDIS Report **HOME Summary of Accomplishments for FY 2020 (PR23)**, 97% of beneficiaries of MaineHousing HOME-assisted programs in 2020 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

Maine is assisting extremely low-income, low-income and moderate income persons and progress is being made towards the goals identified in both the 2020 Annual Action Plan and the Consolidated Plan.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The Maine CoC's outreach plan is supported in coordination with the Department of Health and Human Services (DHHS) Projects for Assistance in Transition from Homelessness (PATH) grantees. PATH Grantees work closely with ESG funded shelters, the CoC, and the ESG Grantee. The goal of these outreach efforts is to engage individuals who are unsheltered, assess their immediate needs and health care concerns, determine their eligibility for MaineCare and other services, and transition them to a community provider for ongoing assistance.

Maine has two Supportive Services for Veteran Families (SSVF) programs that conduct outreach across the entire state of Maine and work directly with veterans and their families who are either homeless or at risk of homelessness.

In addition to these initiatives, there are two independent outreach programs in the City of Bangor and in Waldo County. These programs are funded at a local level, and coordinate with HUD funded shelter and housing programs in their areas.

The Maine CoC continued to redesign its Coordinated Entry System in 2020, and has coordinated with the Maine Statewide Homeless Council to redesign the homelessness response system in Maine around local service hubs. When implemented, these hubs will include outreach providers who will be able to directly with shelter and housing providers in their area.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Maine continues to address both emergency shelter and transitional housing needs of persons who are homeless through the direction and advocacy of the Maine Continuum of Care and the Maine Statewide Homeless Council. Maine utilizes ESG funding to support 36 shelters across the state, including adult individual, family, youth, and domestic violence shelters. These funds support shelter operations, as well as Housing Navigator positions. Navigators work with people experiencing homelessness to access housing resources for which they are eligible. It is the goal of all ESG supported shelters to move clients from Emergency Shelter (ES) and Transitional Housing (TH) into appropriate permanent housing as quickly as possible, thus freeing up ES and TH space for others needing immediate assistance.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private**

## **agencies that address housing, health, social services, employment, education, or youth needs**

During 2020, the Maine Continuum of Care's Coordinated Entry committee worked to develop a diversion tool which was implemented on a pilot basis. This tool allows intake workers to divert those about to become homeless to natural resources and supports. This pilot serves the dual purpose of preventing that person's homelessness, as well as preserving the homeless response resources for those who lack natural supports.

The Statewide Homeless Council continues to work with the Department of Corrections to implement the **Maine Criminal Justice System Blueprint for Ending and Preventing Homelessness**, which seeks to prevent inmates from being released into homelessness. A similar **Blueprint for Ending Homelessness** with DHHS is used to address discharge planning from state-run mental health facilities. Maine was also awarded the Youth Homelessness Demonstration Program grant in 2019, and has been working to design and implement a number of programs supported by that funding. These programs will establish protocols and procedures for youth leaving foster care and other youth facilities.

## **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

ESHAP Shelters are provided a financial incentive to focus efforts on helping guests achieve permanent housing and to ensure that they remain in permanent housing. Ten percent of total ESHAP funding is used to incentivize agencies who help clients stay in permanent housing for at least six months after their placement. In order to receive this incentive, at least 85% of clients placed in permanent destinations must not return to homelessness for at least six months. In addition, many ESG funded shelters participate in statewide and local conferencing[ initiatives to house chronically homeless persons and long term stayers.

## ***CR-30 - Public Housing 91.220(h); 91.320(j)***

### **Actions taken to address the needs of public housing**

MaineHousing is not a public housing authority. In 2020, MaineHousing worked collaboratively with the PHAs in Maine as follows:

- Utilized the statewide centralized Housing Choice Voucher waitlist as mandated by Maine law.
- MaineHousing Director attends quarterly PHA director meetings.
- MaineHousing Family Self-Sufficiency (FSS) staff continue to lead statewide efforts to improve processes and evaluation of the program among those PHAs who administer it, along with bringing together all other PHA FSS staff to assess and respond to new HUD program regulations. Along



with Portland HA, MaineHousing is a member of the National FSS Network through a partnership with Compass Working Capital.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

MaineHousing does not own or manage public housing and does not have access to public housing residents to encourage them to participate in management or homeownership.

MaineHousing's affordable mortgage financing and down payment assistance are available to first-time homebuyers who meet income requirements.

### **Actions taken to provide assistance to troubled PHAs**

There are no troubled PHAs in Maine.

### ***CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)***

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

The Annual Action Plan states that MaineHousing and the Department of Economic and Community Development will continue to encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds. Additionally, both agencies monitor public policy with particular interest in issues related to affordable housing and community development

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

MaineHousing and the Department of Economic and Community Development encourage qualified applicants to apply for CDBG, HOME, HTF and ESG funds.

According to the IDIS Report **HOME Summary of Accomplishments for FY 2020** (PR23), 97% of beneficiaries of MaineHousing HOME-assisted programs in 2020 had incomes at or below 60% of HUD median income, with the greatest number at or below 30% of area median income.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

MaineHousing receives funding from state and federal sources to offer lead safe programs for single-family households and owners of rental properties in Maine. Priority for program funds is granted to remediation and abatement projects of households with a child who has elevated blood lead levels.

In January 2020, MaineHousing closed out a three-year Lead Hazard Reduction Demonstration Grant (LHRD). The LHRD Program funded abatement in 225 units with an average cost of \$9,135.28 per unit over

the three year period. In addition to the lead abatement work, a variety of health and safety issues were addressed in 28 units using Healthy Homes funding received in conjunction with the LHRD Grant, with an average cost of \$14,286 per unit. In February 2020, MaineHousing was awarded \$4.8 million for a three-year Lead Hazard Reduction Grant (LHR). MaineHousing's LHR Program funded lead abatement projects in 27 units with an average cost of \$9,225.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

In Maine, there is a statewide network of Community Action Agencies (CAAs) with a common purpose of providing services to low income people across Maine. The goal of these agencies is to empower low-income people to lift themselves and their families out of poverty.

In 2020, MaineHousing worked with the Community Action Agencies to weatherize 309 homes, improve the heating systems of 1,524 households, and to provide Home Energy Assistance to 34,184 households.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

The State of Maine has an efficient institutional structure through which housing and community development programs are delivered. DECD is the administrator of CDBG funds and MaineHousing is the administrator of HOME, HTF and ESG funding. Both MaineHousing and DECD participate in a number of standing meetings with representatives from state and local government, not-for-profit, and private providers of housing, homelessness, and economic development services.

In 2020, MaineHousing and the Maine Continuum of Care continued to work to redevelop and improve its Coordinated Entry system. The Maine CoC has also worked to address the concerns brought forward in the gaps and needs analysis conducted in 2019.

### **Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

MaineHousing continued to work closely with the Maine Community Action Agencies (CAAs) to deliver services for HEAP, Weatherization, Home Repair and Accessibility, Mobile Home Replacement, Lead Abatement, and Arsenic Abatement. Efforts to coordinate these programs and to deliver services to Maine residents are executed through regular meetings with the CAAs and other partners, including the Maine Center for Disease Control and Prevention, Maine Equal Justice Partners and the Public Advocate's Office. Coordination is also conducted through regular email communications and web-based trainings.

In 2020, the Maine Statewide Homeless Council, MaineHousing, and the Maine CoC partnered with the Corporation for Supportive Housing (CSH) to embark upon a homeless response system redesign. This redesign process will implement service hubs in various locations across Maine. These service hubs will be a collaboration of housing and social service agencies, both public and private. As the service hubs come into operation, they will serve as a place for coordination both for core stakeholder agencies such as shelters and housing projects, as well as other interested parties such as mental health agencies, hospitals, criminal justice agencies, and others. The planning process for these service hubs has already brought on board many agencies across various service sectors who often interface with people experiencing homelessness, and they

are already forming stronger collaborative relationships. This process has also identified various local collaborative bodies already in existence, and will seek to link those existing bodies to a broader statewide coalition.

MaineHousing provides a web-based forum for landlords and property managers to list available units for prospective tenants. This website, [www.mainehousingsearch.org](http://www.mainehousingsearch.org), allows landlords to provide details about available units such as accessibility features and voucher eligibility, and allows social service agencies to assist their clients in finding appropriate, affordable housing units.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

See the attached list of actions taken in 2020 to address impediments identified in the 2019 Analysis of Impediments to Fair Housing Choice.

***CR-40 - Monitoring 91.220 and 91.230***

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

MaineHousing continued to work closely with the Maine Community Action Agencies (CAAs) to deliver services for HEAP, Weatherization, Home Repair and Accessibility, Mobile Home Replacement, Lead Abatement, and Arsenic Abatement. Efforts to coordinate these programs and to deliver services to Maine residents are executed through regular meetings with the CAAs and other partners, including the Maine Center for Disease Control and Prevention, Maine Equal Justice Partners and the Public Advocate's Office. Coordination is also conducted through regular email communications and web-based trainings.

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### **Citizen Participation Plan 91.105(d); 91.115(d)**

**Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

The draft 2020 CAPER was available for public comment for 15 days beginning March 12th, 2021. The public was notified of the availability via newspaper ads in the Bangor Daily News, Kennebec Journal, and Portland Press Herald. DECD and MaineHousing posted copies of the draft document on their websites and email announcements were sent to individuals and organizations. The MaineHousing email list includes community leaders, developers, CHDOs, local housing authorities, advocacy groups, not-for-profit organizations, providers of housing and services to the homeless, and individuals.

No comments were received.

A language translator is available on the MaineHousing website.

### **CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

There have been no changes to the state's program objectives.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

## **CR-50 - HOME 91.520(d)**

### **Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

In 2020, due to the COVID-19 pandemic, HUD granted waivers in relation to a number of HOME regulatory requirements, including the requirement for on-site inspections and reviews. MaineHousing opted to apply that waiver due to current local pandemic conditions and the high percentage of elderly population considered high risk throughout the State. The majority of projects needing to be reviewed in 2020 were therefore deferred and will be completed once on-site reviews and inspections resume.

### **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

All property owners are required to have a Management Plan and a Marketing Plan that affirmatively furthers Fair Housing. MaineHousing has provided Marketing Plan guidance to Owners/Managers that outlines requirements for assuring affirmative fair housing. The Owner is responsible for selecting residents in a non-discriminatory manner. The Owner is also responsible for maintaining a written waiting list of applicants in accordance with the project's resident selection policies and criteria that comply with all federal and state laws prohibiting discrimination on the grounds of race, color, national origin, religion, sex, physical or mental handicap, sexual orientation, familial status, ancestry, and receipt of public assistance. As a result, there is a diverse ethnic and religious population that resides in MaineHousing funded properties.

### **Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

MaineHousing is following HUD guidance for implementation of the HOME Interim Final Rule. The current guidance allows Participating Jurisdictions to accumulate program income in the current program year for commitment in the following year. As a result of this change in guidance, MaineHousing has accumulated \$355,865 in program income during 2020. These funds will be committed in 2021. In 2020, \$353,466 in program income was expended on a TBRA activity. Specific characteristics of tenant's benefiting from program income are not available.

### **Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

MaineHousing uses the Rental Loan Program (RLP) to provide low interest, long term mortgage financing to qualified private, for profit and not-for-profit developers of affordable rental housing. The RLP may be used for new construction and for the acquisition and rehabilitation of existing housing. MaineHousing combines

a number of financial resources for the RLP, including HOME and HTF funding, tax-exempt financing, and various state resources. This program is specifically designed to be used in conjunction with the equity provided through the LIHTC.

**CR-56 - HTF 91.520(h)**

**Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.**

MaineHousing is in compliance with its approved HTF Allocation Plan. In 2020 two HTF funded projects reached completion status, 2 projects are currently in construction, 2 projects are in the development process, and 3 more projects have been selected to receive HTF funding. When occupied these projects will house tenants with incomes at or below 30% of AMI.

Tenure Type	0 – 30% AMI	0% of 30+ to poverty line (when poverty line is higher than 30% AMI)	% of the higher of 30+ AMI or poverty line to 50% AMI	Total Occupied Units	Units Completed, Not Occupied	Total Completed Units
Rental	9	0	0	9	0	9
Homebuyer	0	0	0	0	0	0

**Table 15 - CR-56 HTF Units in HTF activities completed during the period**

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

ESG Supplement to the CAPER in *e-snaps*

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

Recipient Name	MAINE
Organizational DUNS Number	809045511
EIN/TIN Number	016000001
Identify the Field Office	BOSTON
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Maine Balance of State CoC

**ESG Contact Name**

Prefix	Mrs
First Name	PAULA
Middle Name	M
Last Name	WEBER
Suffix	0
Title	Compliance Officer

**ESG Contact Address**

Street Address 1	26 Edison Drive
Street Address 2	0
City	Augusta
State	ME
ZIP Code	04330-4633
Phone Number	2076264600
Extension	4619
Fax Number	2076464678
Email Address	pweber@mainehousing.org

**ESG Secondary Contact**

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

**2. Reporting Period—All Recipients Complete**

**Program Year Start Date** 01/01/2020  
**Program Year End Date** 12/31/2020

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** RURAL COMMUNITY ACTION MINISTRY  
**City:** LEEDS  
**State:** ME  
**Zip Code:** 04263,  
**DUNS Number:**  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 6160.74

**Subrecipient or Contractor Name:** York County Shelter Programs Inc.  
**City:** Alfred  
**State:** ME  
**Zip Code:** 04002, 0820  
**DUNS Number:** 187039425  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 0

**Subrecipient or Contractor Name:** Bangor Area Homeless Shelter  
**City:** Bangor  
**State:** ME  
**Zip Code:** 04401, 6403  
**DUNS Number:** 783449689  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 47465.7

**Subrecipient or Contractor Name:** Bread of Life Ministries  
**City:** Augusta  
**State:** ME  
**Zip Code:** 04330, 4607  
**DUNS Number:** 780854311  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 28028.63



**Subrecipient or Contractor Name:** Caring Unlimited Inc.  
**City:** Sanford  
**State:** ME  
**Zip Code:** 04073, 0550  
**DUNS Number:** 780082806  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 44047.4

**Subrecipient or Contractor Name:** Family Violence Project  
**City:** Augusta  
**State:** ME  
**Zip Code:** 04332, 0304  
**DUNS Number:** 198925133  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 32340.72

**Subrecipient or Contractor Name:** HOME Inc.  
**City:** Orland  
**State:** ME  
**Zip Code:** 04472, 0010  
**DUNS Number:** 071733240  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 64571.96

**Subrecipient or Contractor Name:** Homeless Services of Aroostook  
**City:** Presque Isle  
**State:** ME  
**Zip Code:** 04769, 1753  
**DUNS Number:** 195221630  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 49742.82

**Subrecipient or Contractor Name:** Hope and Justice Project  
**City:** Presque Isle  
**State:** ME  
**Zip Code:** 04769, 2254  
**DUNS Number:** 627646102  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 37532.93

**Subrecipient or Contractor Name:** Mid-Maine Homeless Shelter  
**City:** Waterville  
**State:** ME  
**Zip Code:** 04903, 2612  
**DUNS Number:** 803876713  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 88269.57

**Subrecipient or Contractor Name:** The Next Step Domestic Violence Project  
**City:** Ellsworth  
**State:** ME  
**Zip Code:** 04605, 1465  
**DUNS Number:** 046740339  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 10736.02

**Subrecipient or Contractor Name:** Penobscot Community Health Center Inc.  
**City:** Bangor  
**State:** ME  
**Zip Code:** 04402, 2100  
**DUNS Number:** 034744040  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 139763.12

**Subrecipient or Contractor Name:** Preble Street: Florence House  
**City:** Portland  
**State:** ME  
**Zip Code:** 04104, 1459  
**DUNS Number:** 780081485  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 32258.08

**Subrecipient or Contractor Name:** Preble Street: Joe Kreisler Shelter  
**City:** Portland  
**State:** ME  
**Zip Code:** 04104, 1459  
**DUNS Number:** 780081485  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 27192.74

**Subrecipient or Contractor Name:** Rumford Group Homes Inc.: Norway Shelter  
**City:** Rumford  
**State:** ME  
**Zip Code:** 04276, 1846  
**DUNS Number:** 603062365  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 17940.19

**Subrecipient or Contractor Name:** Rumford Group Homes Inc.: Rumford/South Paris  
**City:** Rumford  
**State:** ME  
**Zip Code:** 04276, 1846  
**DUNS Number:** 603062365  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 24333.46

**Subrecipient or Contractor Name:** Safe Voices  
**City:** Auburn  
**State:** ME  
**Zip Code:** 04212, 0713  
**DUNS Number:** 840710149  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 7920.95

**Subrecipient or Contractor Name:** Shaw House  
**City:** Bangor  
**State:** ME  
**Zip Code:** 04401, 6327  
**DUNS Number:** 927356907  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 2200.28

**Subrecipient or Contractor Name:** Tedford Housing: Family Shelter  
**City:** Brunswick  
**State:** ME  
**Zip Code:** 04011, 1927  
**DUNS Number:** 781973946  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 0

**Subrecipient or Contractor Name:** Tedford Housing: Adult Shelter  
**City:** Brunswick  
**State:** ME  
**Zip Code:** 04011, 1927  
**DUNS Number:** 781973946  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 4340.74

**Subrecipient or Contractor Name:** City of Portland: Family Shelter  
**City:** Portland  
**State:** ME  
**Zip Code:** 04101, 2418  
**DUNS Number:** 071747802  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 168832.91

**Subrecipient or Contractor Name:** City of Portland: Oxford Street Shelter  
**City:** Portland  
**State:** ME  
**Zip Code:** 04101, 2418  
**DUNS Number:** 071747802  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Unit of Government  
**ESG Subgrant or Contract Award Amount:** 259188.47

**Subrecipient or Contractor Name:** Knox County HOmeless Coalition  
**City:** Rockland  
**State:** ME  
**Zip Code:** 04841, 1696  
**DUNS Number:** 020536997  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 85323.96

**Subrecipient or Contractor Name:** York County Shelter Programs Inc. - Family Emergency Shelter  
**City:** Alfred  
**State:** ME  
**Zip Code:** 04002, 0820  
**DUNS Number:** 187039425  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 0

**Subrecipient or Contractor Name:** Milestone Foundation  
**City:** Portland  
**State:** ME  
**Zip Code:** 04101, 4209  
**DUNS Number:** 073993883  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 59539.25

**Subrecipient or Contractor Name:** NEW BEGINNINGS, INC.  
**City:** Lewiston  
**State:** ME  
**Zip Code:** 04240, 6736  
**DUNS Number:** 194538534  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 10736.02

**Subrecipient or Contractor Name:** Through These Doors  
**City:** Portland  
**State:** ME  
**Zip Code:** 04104, 0704  
**DUNS Number:** 884755166  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 0

**Subrecipient or Contractor Name:** Rumford Group Homes Inc - Rumford Family Center Shelter  
**City:** Rumford  
**State:** ME  
**Zip Code:** 04276, 2212  
**DUNS Number:**  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 14961.8

**Subrecipient or Contractor Name:** Partners for Peace  
**City:** Bangor  
**State:** ME  
**Zip Code:** 04402, 0653  
**DUNS Number:**  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 23166.99

**Subrecipient or Contractor Name:** Western Maine Homeless Outreach  
**City:** Farmington  
**State:** ME  
**Zip Code:** 04938, 0830  
**DUNS Number:**  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 0

**CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes**

**10. Shelter Utilization**

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	390,208
Total Number of bed-nights provided	230,051
Capacity Utilization	58.96%

Table 24 – Shelter Capacity

**11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)**

Maine’s network of 36 emergency homeless shelters statewide use these funds for operating expenses, as well as for housing relocation and stabilization services in order to rapidly re-house and stabilize individuals and families who are living in shelters and on the streets across Maine. These shelters employ 67 Housing Navigators who conduct comprehensive assessments of clients, create housing stability plans, assist with housing search and placement, and follow clients beyond the shelter to ensure housing stability. The Navigators at these shelters conducted initial assessments, including the VISPDAT, and created Housing Stability Plans for 2,849 clients. Shelters are awarded performance incentives for maintaining rare occurrences of clients returning into the homeless system after having exited to a permanent destination. This performance data is measured semi-annually, and 92% of shelters met this performance benchmark in 2020.

**CR-75 – Expenditures**

**11. Expenditures**

**11a. ESG Expenditures for Homelessness Prevention**

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0

Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>0</b>	<b>0</b>

Table 25 – ESG Expenditures for Homelessness Prevention

**11b. ESG Expenditures for Rapid Re-Housing**

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	594,449	625,510	643,298
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	594,449	625,510	0
<b>Subtotal Rapid Re-Housing</b>	<b>1,188,898</b>	<b>1,251,020</b>	<b>643,298</b>

Table 26 – ESG Expenditures for Rapid Re-Housing

**11c. ESG Expenditures for Emergency Shelter**

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Essential Services	0	0	0
Operations	611,294	625,510	643,298
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>611,294</b>	<b>625,510</b>	<b>643,298</b>

Table 27 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Street Outreach	0	0	0
HMIS	0	0	0
Administration	80,477	40,160	104,318

Table 28 - Other Grant Expenditures

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2018	2019	2020
	1,880,669	1,916,690	1,390,914

Table 29 - Total ESG Funds Expended

**11f. Match Source**

	<b>2018</b>	<b>2019</b>	<b>2020</b>
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	4,907,196	4,900,000	5,500,000
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>4,907,196</b>	<b>4,900,000</b>	<b>5,500,000</b>

**Table 30 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
	6,787,865	6,816,690	6,890,914

**Table 31 - Total Amount of Funds Expended on ESG Activities**



## Attachments

## 2020 AI Results

### MaineHousing Analysis of Impediments to Fair Housing

#### 2020 Results

MaineHousing submits the following action plan to address impediments identified in its Analysis of Impediments to Fair Housing.

Impediment 1: Lack of Affordable Housing			
Action	Measurable Objective	Timeline	Responsible Department
<b>1.1 Increase the number of affordable housing units and preserve existing units</b>	Number of affordable housing units created and preserved	Annually	DEV/AM
<i>Results:</i> In 2020, Development funded 483 new units and preserved 15 units of housing with Low Income Housing Tax Credits and Housing Trust Fund capital.			
<b>1.2 Increase the resources available to develop affordable housing</b>	Changes (increase/decrease) in funding available for programs	Annually	Directors
<i>Results:</i> An additional \$1,500,000 in subsidy above 2019 levels was made available for the 9% LIHTC program in 2020.			
<b>1.3 Conduct data collection and analysis of affordable housing availability and needs in Maine</b>	Publication of housing facts  Number of community housing assessment requests received and completed	Annually	CPD
Work with communities to conduct local community housing assessments upon request  Publish housing facts and organize data to assist external partners conducting affordable housing related research			
<i>Results:</i> Interactive Housing Facts for Homeownership (2000-2019) and Rental (2000-2020) were published using Tableau in 2020.			

<p><b>1.4 Achieve deeper affordability than the statutory minimum affordability required for LIHTC and tax-exempt bond projects</b></p>	<p>Number of affordable units that exceed the minimum required</p> <p>Number of units with income targeting below minimum required</p> <p>Number of units that are affordable longer than minimum affordability period</p>	<p>Annually</p>	<p>DEV</p>
<p><b>Results:</b>  158 additional units are affordable at 50% of AMI above the amount required for Section 42 of the IRS Code.  186 additional units are affordable at 60% of AMI above the amount required by Section 42 of the IRS Code.  465 units are affordable for periods longer than required by Section 42 of the IRS Code.</p>			
<p><b>1.5 Increase homebuyer affordability</b></p> <p>Maintain or increase the difference between MaineHousing's lower interest rate relative to the average bank rate for low and moderate income homebuyers</p> <p>Provide down payment assistance to qualified homebuyers</p>	<p>Change in yearly differential in MaineHousing interest rate compared to market rate</p> <p>Number of buyers receiving down payment assistance</p>	<p>Annually</p>	<p>HO</p>
<p><b>Results:</b> 898 MaineHousing borrowers utilized the Advantage down payment assistance option, representing 97% of all 2020 loans made within the MaineHousing First Home Loan program.</p>			
<p><b>1.6 Preserve existing affordable single family homes</b></p> <p>Provide grants and/or no interest loans to low-income households to make repairs and improvements</p>	<p>Number of low-income households assisted</p>	<p>Annually</p>	<p>EHS</p>
<p><b>Results:</b> 283 low-income households received home repair grants through the Home Accessibility and Repair Program (HARP).</p>			

<b>Impediment 2. Racial, Ethnic and Cultural Barriers</b>			
<b>Action</b>	<b>Measurable Objective</b>	<b>Timeline</b>	<b>Responsible Department</b>
<b>2.1 Examine MaineHousing programs for opportunities to broaden participation</b>	Summary report shared with MaineHousing program directors.  Number of program modifications recommended.	Annually	CPD/ Innovation Team
<i>Results:</i> One program was modified in 2020, the marketing material for First Home Loan housing counseling program.			
<b>2.2 Coordinate and fund Fair Housing Workshops for racial, ethnic and cultural communities</b>	Number of participants	Annually	HO
<i>Results:</i> MaineHousing did not coordinate or fund any Fair Housing Workshops in 2020 due to the COVID-19 Pandemic which caused limitations on in-person events and logistical challenges of conducting and organizing virtual trainings. MaineHousing did support Fair Housing efforts by providing funding for homebuyer education classes and housing counseling, as well as participating in online outreach opportunities and industry events.			
<b>2.3 Fund English as a Second Language financial literacy group education and one-on-one counseling for individuals who are not proficient in the English language</b>	Number of individuals counseled	Annually	HO
<i>Results:</i> ProsperityME (formerly Community Financial Literacy), an organization serving a large immigrant community, received \$9,308 in State Home funding from MaineHousing, which supported 3 financial capabilities classes and provided 70 hours of one-on-one housing counseling for 32 individuals.  Four Directions Development Corporation, serving Maine's Native American population, received \$7,131 in State Home funding from MaineHousing, which supported 3 in-person financial capabilities classes and 161 hours of one-on-one housing counseling services for 122 individuals.			
<b>2.4 Fund training of housing counselors that offer English as a Second Language financial literacy group education.</b>	Number of training sessions offered or sponsored.	Annually	HO
<i>Results:</i> MaineHousing engaged with a cohort of partner agencies to support training of financial counselors to offer English as a second language, although no funding was provided during 2020 for this initiative.			

<b>Impediment 3. Community Planning and Zoning Decisions that Impede Affordable Housing</b>			
<b>Action</b>	<b>Measurable Objective</b>	<b>Timeline</b>	<b>Responsible Department</b>
<b>3.1 Educate the public and local officials on the multiple benefits housing can bring to each and every community</b>	Number of meetings  Number of requests made and number of presentations delivered  Materials Developed	On going	CPD
<i><b>Results:</b></i> 7 Requests and meetings/presentations were delivered in 2020 (Augusta, Presque Isle, Bangor, Rockland, Lewiston, Biddeford, Sanford).			
<b>3.2 Support affordable housing projects against NIMBY efforts (discrimination by communities or neighbors) as necessary</b>	Number of projects experiencing NIMBYism supported by MaineHousing	Ongoing	LEGAL/CPD
<i><b>Results:</b></i> None			

<b>Impediment 4. Lack of Availability and Access to housing for disabled individuals</b>			
<b>Action</b>	<b>Measurable Objective</b>	<b>Timeline</b>	<b>Responsible Department</b>
<b>4.1 Create more accessible units than required by state and federal law through scoring incentives in the multifamily development programs</b>	Number of additional accessible units created	Annually	DEV
<i>Results:</i> 73 accessible units above the minimum required were funded in 2020.			
<b>4.2 Expand accessibility in existing housing through targeted programs and funding</b>	Number of accessible units created	Annual	EHS / CPD / AM
<i>Results:</i> 23 low-income households were provided accessibility grants through the Home Accessibility and Repair Program (HARP).			
The Subsequent Loan Program funded rehabilitation in one project that resulted in the creation of three new accessible units.			
<b>4.3 Inform developers and landlords about accessibility requirements</b>	Number of developers and landlords reached with information	Ongoing	AM/DEV/HCV/EHS
<i>Results:</i> 14 landlords were informed about accessibility requirements through MaineHousing's HUD Lead Hazard Reduction – Healthy Homes grant.			
12 developers were informed of Federal, State, and Local accessibility requirements.			
<b>4.4 Continue to encourage the use of MaineHousingSearch.org to identify accessible units</b>	Number tagged for accessibility  Hits on <a href="http://mainehousingsearch.org">mainehousingsearch.org</a>	Ongoing	HCV/III/CPD
<i>Results:</i> HCV – 361 HCV Briefing packets (including notices on Fair Housing and MaineHousing Search) were sent to clients.			
STEP - Use of MaineHousingSearch.org was encouraged as a resource for all Homeless Initiative clients - 106 households were given the resource directly while being given the STEP Coupon briefing.			
In 2020, 19,500 units were listed on MaineHousingSearch.org. 69,383 searches occurred with 253,008 pageviews.			
<b>4.5 Collaborate with other state agencies to help individuals with special needs move to independent living</b>	Number of homeward bound vouchers	Ongoing	HCV/DEV/AM

	The number of individuals assisted with HTF and 811		
<p><b>Results:</b> 10 individuals were assisted with Homeward Bound Vouchers, 13 HTF and 24 PRA811 vouchers issued.</p> <p>There were a total of 4 individuals qualifying under one of the five MaineCare waiver groups that were housed under the PRA811 program in 2020.</p> <p>A total of 9 units were created and made available in 2020 under the Housing Trust Fund Program. Those 9 units housed and served a total of 11 people.</p>			

<b>Impediment 5. Limited access to neighborhood opportunities and community assets</b>			
<b>Action</b>	<b>Measurable Objective</b>	<b>Timeline</b>	<b>Responsible Department</b>
<b>5.1 Utilize selection criteria in the LIHTC Qualified Allocation Plan to incent the development of affordable housing in high-opportunity areas</b>	Number of projects awarded LIHTC that are located in high-opportunity areas	Annually	DEV
<b>Results:</b> Maine's QAP awards points to projects in high-opportunity areas. Eleven tax credit projects were funded in High Opportunity Areas in 2020.			
<b>5.2 Qualified Allocation Plan</b> Incent development of new housing in areas with access to community assets (location in service center communities with higher need and location near public transportation, schools, employment, services and other amenities important to daily living )	Number of projects awarded LIHTC that are awarded points for smart growth concepts.	Annually	DEV
<b>Results:</b> Four projects (100% of 9% LIHTCs) received points for Smart Growth concepts.			
<b>5.3 Qualified Allocation Plan</b> Incent development of affordable housing in areas where the differential between the maximum LIHTC rent and the market rent is higher	Number of LIHTC units awarded in areas where the market rent exceeds the LIHTC rent.	Annually	DEV
<b>Results:</b> 217 units were funded in areas where the market rate exceeds the maximum LIHTC rent in 2020.			
<b>5.4 Qualified Allocation Plan</b> Encourage economic diversity by incenting the	Number LIHTC of units awarded in	Annually	DEV

<b>Impediment 5. Limited access to neighborhood opportunities and community assets</b>			
<b>Action</b>	<b>Measurable Objective</b>	<b>Timeline</b>	<b>Responsible Department</b>
development of mixed-income housing in qualified census tracts	mixed-income projects in QCTs		
<i>Results:</i> 65 units were awarded tax credits in QCTs in 2020.			
<b>5.5 Increase the use of HCV vouchers in low poverty areas</b>	Number of new tenants leasing up in low poverty areas	Annually	HCV
<i>Results:</i> Landlords in low poverty areas are offered security deposits funds up the contract rent. The security deposit program is also available to all new participants in our program increasing our lease up totals by removing the barrier for low income families to pay a security deposit. In 2020 there were 361 HCV Briefing packets.			

<b>Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing</b>			
<b>Action</b>	<b>Measurable Objective</b>	<b>Timeline</b>	<b>Responsible Department</b>
<b>6.1 Partner with associations focused on human rights as it pertains to fair housing</b>	Number of joint initiatives	Ongoing	HO and Program Directors
<i>Results:</i> MaineHousing annually partners with organizations hosting fair housing workshops or initiatives. The COVID-19 Pandemic in 2020 greatly limited the opportunity for in-person events for which MaineHousing could provide support or partnership.			
MaineHousing provided promotional flyers and made information materials available to both large and small multifamily property managers and owners across the state for any events.			
<b>6.2 Coordinate fair housing complaint resolution with partners and clients and refer fair housing complaints to appropriate agencies if necessary.</b>	Number of fair housing interventions and/or referrals	Ongoing	Legal
<i>Results:</i> One			
<b>6.3 Continue fair housing public education programs designed to assist landlords, builders, and relevant professionals</b>	Number of relevant professionals receiving training	Ongoing	DEV/HCV/HO/AM/HI
<i>Results:</i> Due to the limitations caused by the COVID-19 Pandemic and the challenges of organizing and conducting remote workshops, no virtual or live in-person events, programs or workshops were			

7



<b>Impediment 6. Lack of Understanding of Fair Housing and Affirmatively Furthering Fair Housing</b>			
<b>Action</b>	<b>Measurable Objective</b>	<b>Timeline</b>	<b>Responsible Department</b>
<p>held in 2020. MaineHousing continued to support any partner agencies offering housing counseling and education courses by providing fair housing information and materials.</p> <p>HCV Staff participated in a virtual Fair Housing Training on May 19, 2020.</p> <p>On 11/4/2020, Asset Management sent out Notice 2020-17 which contained a flyer for an upcoming Fair Housing training opportunity sponsored by Maine Real Estate Management Association, a group dedicated to landlords/property managers.</p>			
<b>6.4 Maintain MaineHousing's Fair Housing website page which includes information and resources about fair housing and equal access laws.</b>	Number of website hits on the Fair Housing page.	Ongoing	CPD
<i>Results:</i> 2078 hits on the Fair Housing webpage			

<b>6.5 Provide MaineHousing's comprehensive Communications Resource Guide to employees, contractors, agents, and owners/property managers of multi-family projects</b>	Number of guides distributed/website hits	Ongoing	LEGAL/ AM/ CPD
<i>Results:</i> The Communication Resource Guide is available on the MaineHousing website on the Asset Management page as a resource to partners under "resources to Assist with Equal Access". The Guide received 3 hits in 2020.			
<b>6.6 Provide an internal grievance procedure for applicants and participants to file fair housing complaints about programs and services</b>	Number of internal grievances resolved	Ongoing	LEGAL
<i>Results:</i>			

<b>6.7 Education and Outreach</b> Distribute materials on affordable housing and fair housing at conferences, workshops, and other appropriate public venues	Number of people educated at Fair Housing Workshops and Trainings Number of events at which these materials are distributed	Ongoing	HO/ CPD
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	Number of brochures and other materials distributed		
	Number in attendance at the biannual conference		
<b>Results:</b> Due to the COVID-19 Pandemic, we limited participation to virtual meetings.			
<b>6.8 Coordinate and fund tenant education and financial literacy training for Navigators who in turn deliver financial literacy training for individuals transitioning from homeless shelters to permanent housing</b>	Number of navigators trained	Annually	HI
	Number of clients trained		
<b>Results:</b> 67 navigators trained 3818 clients given financial literacy services/training			
<b>6.9 Provide eHomeAmerica as an on-line option for home buyer education</b>	Number of participants who utilize eHomeAmerica on-line.	Ongoing	HO
<b>Results:</b> Between October 1, 2019 and September 30, 2020, 1,580 individuals completed eHomeAmerica's homebuyer education course online and a one-hour, one-on-one post course educational session with a housing counselor.			
<b>6.10 Continue to sponsor homeownership education classes that contain information about Fair Housing laws that are relevant to prospective home buyers.</b>	Number of participants in home buyer education classes.	Ongoing	HO
<b>Results:</b> Between Oct. 1, 2019 and Sept. 30, 2020, 1,431 individuals completed an in-person or virtual homebuyer education class sponsored by MaineHousing. The hoMEworks class curriculum requires instructors to address Fair Housing and Fair Lending.			

# Multifamily Monitoring 2020

MaineHousing  
2020 FedHOME Monitoring Activities

PrjNum	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR Date	PPI Date	Summary of Issues/Concerns
MSHA-RLP-1615	N/A	\$0.00	15	10	6/18/2035	12/30/2020	due in 2020 but deferred due to COVID	MOR completed with no identified issues. PPI deferred due to COVID - will be completed as soon as conditions allow.
MSHA-RLP-1365	N/A	\$0.00	20	12	3/29/2026	11/17/2020	5/21/2019	MOR and PPI off cycle. MOR only due in 2020. Review identified only one item - owner exceeded rent limit. Owner to reimburse tenant for overcharge. Item currently open.
MSHA-RLP-1582	N/A	\$0.00	24	10	12/18/2035	10/20/2020	due in 2020 but deferred due to COVID	MOR completed with no identified issues. All units receive RD project based assistance. PPI deferred due to COVID - will be completed as soon as conditions allow.
MSHA-RLP-1297	N/A	\$0.00	16	5	4/30/2024	12/30/2020	2/13/2020	MOR completed with no identified issues. The PPI identified one H&S item - hanging light fixture with exposed wires needing immediate attention which was cleared. All other small items - missing drain stop, non-functioning spray nozzle, missing trim - all corrected within required timeframe.
MSHA-RLP-1657	10403	\$227,075.00	63	2	8/29/2039	12/2/2020	due in 2020 but deferred due to COVID	New project. MOR completed with no identified issues. PPI deferred due to COVID - will be completed as soon as conditions allow.
MSHA-SHP-1197	5325	\$84,871.00	1	1	11/21/2041	5/29/2019	due in 2020 but deferred due to COVID	MOR and PPI off cycle. PPI only was due in 2020 but deferred due to COVID - will be completed as soon as conditions allow.
MSHA-SHP-1004	1689	\$60,000.00	8	1	11/4/2027	3/28/2019	due in 2020 but deferred due to COVID	MOR and PPI on off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-914	472	\$20,000.00	2	2	3/7/2026	4/26/2019	due in 2020 but deferred due to COVID	MOR and PPI on off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-981	1690	\$100,000.00	16	2	5/21/2028	6/7/2019	due in 2020 but deferred due to COVID	MOR and PPI on off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-985	1972	\$113,000.00	2	2	5/12/2027	8/18/2019	due in 2020 but deferred due to COVID	MOR and PPI on off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-1415	7464	\$168,692.00	2	2	2/22/2036	8/16/2019	due in 2020 but deferred due to COVID	MOR and PPI on off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.

MaineHousing  
2020 FedHOME Monitoring Activities

PrjNum	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR Date	PPI Date	Summary of Issues/Concerns
MS3R-0191-853	139	\$30,000.00	5	3	7/15/2024	due in 2020 but deferred due to COVID	2/15/2019	Project now compliant with annual reporting. Physical Plant deficiencies identified last year have not all been cleared due to COVID. Will continue to monitor and will plan to inspect in 2020 when we complete the MOR which was deferred due to COVID.
MSHA-RLP-1259	5947	\$75,000.00	12	3	3/10/2024	due in 2020 but deferred due to COVID	3/20/2019	MOR and PPI off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-1098	4014	\$95,000.00	3	3	10/17/2021	due in 2020 but deferred due to COVID	8/23/2018	MOR and PPI off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-RLP-1429	7948	\$560,000.00	28	4	1/16/2038	due in 2020 but deferred due to COVID	11/17/2020	MOR completed with no identified issues. PPI deferred due to COVID - will be completed as soon as conditions allow.
MSHA-RLP-1390	9980	\$133,920.00	30	2	4/30/2027	due in 2020 but deferred due to COVID	5/29/2019	MOR and PPI off cycle. Inspection only due in 2020 but deferred due to COVID. This project has PBVs which means it goes through a secondary eligibility check to ensure tenants meet income requirements for the voucher and the project gets inspected annually and must meet HQS.
MSHA-SHP-855	544	40000	4	4	8/27/2026	due in 2020 but deferred due to COVID	8/29/2018	MOR and PPI off cycle. MOR only was due in 2020 but deferred due to COVID - will be completed as soon as conditions allow.
MSHA-SHP-1181	5143	\$224,900.00	4	4	12/28/2041	due in 2020 but deferred due to COVID	3/18/2019	MOR and PPI on off cycle. Full MOR scheduled for 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-910	310	\$60,000.00	5	5	12/12/2026	due in 2020 but deferred due to COVID	11/26/2019	MOR and PPI on off cycle. Full MOR scheduled for 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-RLP-1375	8117	\$735,000.00	23	5	9/1/2038	due in 2020 but deferred due to COVID	4/16/2019	MOR and PPI off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.

MaineHousing  
2020 FedHOME Monitoring Activities

PrjNum	IDIS #	Fed Home Funds	Total Units	Fed Home Units	Compliance End Date	MOR Date	PPI Date	Summary of Issues/Concerns
MSHA-RLP-1469	9567	\$470,609.00	17	6	4/23/2040	due in 2020 but deferred due to COVID	9/10/2019	MOR and PPI off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-1416	7616	\$200,000.00	6	6	7/13/2021	due in 2020 but deferred due to COVID	4/24/2019	MOR and PPI off cycle. Full MOR scheduled for 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-RLP-1585	10064	416215	20	6	3/19/2044	due in 2020 but deferred due to COVID	11/24/2020	MOR completed with no identified issues. PPI deferred due to COVID - will be completed as soon as conditions allow.
MSHA-SHP-992	1391	\$80,000.00	8	8	7/7/2027	due in 2020 but deferred due to COVID	11/20/2019	MOR and PPI off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-1183	6851	437866	8	8	11/17/2044	due in 2020 but deferred due to COVID	6/6/2018	MOR and PPI off cycle. PPI only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-870	172	\$60,000.00	35	10	3/16/2025	due in 2020 but deferred due to COVID	10/24/2019	MOR and PPI off cycle. Full MOR scheduled for 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-SHP-922	1987	\$221,750.00	8	8	6/11/2026	due in 2020 but deferred due to COVID	9/18/2019	MOR and PPI off cycle. MOR only due in 2020 but deferred due to COVID. Will be reviewed as soon as conditions allow.
MSHA-RLP-1424	8212	\$600,000.00	30	9	4/3/2038	due in 2020 but deferred due to COVID	12/30/2020	MOR completed with no identified issues. PPI deferred due to COVID - will be completed as soon as conditions allow.
MSHA-RLP-998	10165	\$1,400,000.00	35	22	11/3/2046	12/15/2020	3/13/2020	MOR completed with only one small issue - missing VAWA lease addendum. Report just issued - awaiting correction. The PPI was conducted and found only one minor issue - leaking sink drain. Item fixed timely.
MSHA-RLP-1398	7842	\$2,830,309.00	40	29	11/19/2037	due in 2020 but deferred due to COVID	12/9/2020	MOR completed with no identified issues. PPI deferred due to COVID - will be completed as soon as conditions allow.
MSHA-RLP-1202	5130	\$2,262,670.00	36	36	11/25/2032	12/7/2020	8/22/2019	MOR and PPI off cycle. MOR only due in 2020. Review identified no issues.

# HUD SAGE HMIS CAPER

Sage: Reports: HUD ESG CAPER FY2020

[https://www.sagehmis.info/secure/reports/filterpages/galactic.aspx?reportID=118&client\\_ID=7...](https://www.sagehmis.info/secure/reports/filterpages/galactic.aspx?reportID=118&client_ID=7...)



HUD ESG CAPER FY2020

Grant: ESG - Maine Nonrental - ME - Report Type: CAPER

#### Report Date Range

1/1/2020 to 12/31/2020

#### Q014 Contact Information

First name	Powle
Middle name	JL
Last name	Webster
Suffix	
Title	Compliance Officer
Street Address 1	26 Edison Drive
Street Address 2	
City	AUGUSTA
State	Maine
ZIP Code	04320
Email Address	jwebster@mainehomespg.org
Phone Number	2076264600
Extension	4619
Fax Number	

Q01b. Grant Information

As of 3/9/2021

Fiscal Year	Grant Number	Current Authorized Amount	Total Drawn	Balance	Obligation Date	Expenditure Deadline
2020	E150C230001	\$1,301,914.00	\$1,300,914.00	\$0	5/27/2020	5/27/2022
2019	E190C230001	\$1,352,454.00	\$1,352,454.00	\$0	7/10/2019	7/10/2021
2018	E150C230001	\$1,303,606.00	\$1,303,606.00	\$0	8/23/2018	8/23/2020
2017	E170C230001	\$1,311,285.00	\$1,311,285.00	\$0	9/22/2017	9/22/2019
2016	E160C230001	\$1,322,743.00	\$1,322,743.00	\$0	7/27/2016	7/27/2018
2015	E150C230001	\$1,329,400.00	\$1,329,400.00	\$0	6/24/2015	6/24/2017
2014	E140C230001	\$1,235,790.00	\$1,235,790.00	\$0	6/18/2014	6/18/2016
2013	E130C230001	\$1,051,868.00	\$1,051,868.00	\$0	8/9/2013	8/9/2015
2012						
2011						
<b>Total</b>		<b>\$10,297,060.00</b>	<b>\$10,297,060.00</b>	<b>\$0</b>		

ESG Information from IDIS

CAPER reporting includes funds used from fiscal year:

2019, 2020

Project types carried out during the program year

Enter the number of each type of projects funded through ESG during this program year.

Stream Outreach:	0
Emergency Shelter:	30
Transitional Housing (grant-funded under ES):	0
Day Shelter (grants under ES):	0
Rapid Re-Housing:	30
Homelessness Prevention:	0

Q01c. Additional Information

HMIS

Comparable Database

Are 100% of the project(s) funded through ESG, which are allowed to use HMIS entering data into HMIS?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes
Are 100% of the project(s) funded through ESG, which are allowed to use a comparison database, entering data into the comparable database?	Yes
Have all of the projects entered data into Sage via a CSV - CAPER Report upload?	Yes

Q04a: Project Identifiers in HMIS

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project ID of affiliations	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
Preble Street	57	Preble Street - Joe Kreiser Teen Shelter	58	1	0			ME-500/ME-502	232484,232484	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
YCSPI - Family Emergency Shelter	990	YCSPI - Family Emergency Shelter	990	1	0			ME-500	239031	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Through These Doors	Through These Doors	Shelter	2	1	0	0	0	ME-500	232484	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
York County Shelter Programs, Inc.	122	YCSPI - York County Adult Shelter	123	1	0			ME-500	239031	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Tedford Housing	299	Tedford - Adult Shelter	30	1	0			ME-500	239005	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Tedford Housing	299	Tedford - Family Shelter	60	1	0			ME-500	239005	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Rural Community Action Ministry Inc	302	Rural Community Action Ministry - Homeless Shelter	28	1	0			ME-500	239001	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Preble Street	57	Preble Street - Florence House ES	1023	1	0			ME-500	232484	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
BAHS - Bangor Area Homeless Shelter Inc	295	BAHS - Emergency Shelter	19	1	0			ME-500	230162	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Mid-Maine Homeless Shelter Inc	300	Mid-Maine Homeless Shelter - Emergency Shelter	25	1	0			ME-500	239011	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Safe Voices	(DV)(248)	Annie Pearl	(DV)(0)	1	0	1	(DV)(271)	ME-500	230120	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
Shaw House	70	Shaw House - Emergency Youth Shelter	72	1	0			ME-500	230162	0	ServicePoint	2020-01-01	2021-01-01	No	Yes
City of Portland	231	City of Portland - Family Shelter	234	1	0			ME-500/ME-502	232484,232484	0	ServicePoint	2020-01-01	2020-12-31	No	Yes



Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project (Date of affiliations)	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
Next Step Domestic Violence Project	Next Step	Next Step	Next Step	1	0	0	Next Step	ME-500	239029	0	EmpowerDB	2020-01-01	2020-12-31	No	Yes
Caring Unlimited	000	Audrey's House	000	1	0	0	0	ME-500	239031	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
RGH - Rumford Group Homes, Inc	27	RGH - Rumford Family Center Shelter	473	1	0			ME-500	239017	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
RGH - Rumford Group Homes, Inc	27	RGH - Norway Family Center	201	1	0			ME-500	239017	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
RGH - Rumford Group Homes, Inc	27	RGH - Rumford Family Center Moniar	977	1	0			ME-500	239017	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Homeless Services of Aroostook Inc	303	HSA - Sister Mary O'Donnell Shelter	24	1	0			ME-500	239003	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Homeless Services of Aroostook Inc	303	HSA - Aroostook Bridge (ES)	1090	1	0			ME-500	239003	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Knox/Waldo Homeless Coalition	602	Knox/Waldo Homeless Coalition - Hospitality House	838	1	0			ME-500	239013	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Family Violence Project 7/1/18 to 6/30/19	1234	Kennebec Shelter	5678	1	0	0	1	ME 500	239011	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
Family Violence Project 4/1/19 to 6/30/19	2468	Somerset Shelter	12345	1	0	0	2	ME 500	239025	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
City of Portland	231	City of Portland - Oxford Street Shelter	232	1	0			ME-500,ME-502	232484,232484	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
HOME Inc	23	Home Inc - Emmaus Homeless Shelter (ES)	22	1	0			ME-500	239009	0	ServicePoint	2020-01-01	2020-12-31	No	Yes

Organization Name	Organization ID	Project Name	Project ID	HMIS Project Type	Method for Tracking ES	Affiliated with a residential project	Project (Is of affiliations)	CoC Number	Geocode	Victim Service Provider	HMIS Software Name	Report Start Date	Report End Date	CSV Exception?	Uploaded via emailed hyperlink?
HOME Inc	23	Home Inc - Dorr House Emergency Shelter	63	1	0			ME-500	239009	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
HOME Inc	23	Home Inc - Sister Marie House Emergency Shelter	768	1	0			ME-500	239009	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
HOME Inc	23	Home Inc - St Francis Inn	119	1	0			ME-500	239009	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Hope and Justice Project	Hope and Justice Project	Northern Aroostook Shelter	SHF-1157	1	0	0	0	ME-500	239003	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
New Beginnings Inc.	95	New Beginnings Inc. - Emergency Shelter	110	1	0			ME-500	239001	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Hope and Justice Project	HJP3	Southern Aroostook Shelter	SHLT-500	1	0	0	1	ME-500	239003	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
PCHC COVID Hotel/Motel	1153	PCHC COVID Hotel/Motel	1153	1	0			ME-500	239019	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Hope and Justice Project	Hope and Justice Project	Central Aroostook Shelter	MSH-SHL1-522	1	0	0	0	ME-500	239003	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
Penobscot Community Health Center Inc	479	PCHC Hope House - Emergency Shelter	480	1	0			ME-500	239019	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Bread of Life Ministries	20	Bread of Life Emergency Shelter	42	1	0			ME-500	239011	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Milestone Recovery	164	Milestone - Substance Abuse Shelter	421	1	0			ME-500/ME-502	232484,232484	0	ServicePoint	2020-01-01	2020-12-31	No	Yes
Partners for Peace	259	Partners for Peace Dv Shelter	1	1	0	0	0	ME-500	230162	1	EmpowerDB	2020-01-01	2020-12-31	No	Yes
Western Maine Homeless Outreach	888	Western Maine Homeless Outreach - Emergency Shelter	889	1	0			ME-500	239007	0	ServicePoint	2020-01-01	2020-12-31	No	Yes

**Q05a: Report Validations Table**

Total Number of Persons Served	4451
Number of Adults (Age 18 or Over)	3643
Number of Children (Under Age 18)	806
Number of Persons with Unknown Age	2
Number of Leavers	3762
Number of Adult Leavers	3108
Number of Adult and Head of Household Leavers	3192
Number of Stayers	689
Number of Adult Stayers	535
Number of Veterans	186
Number of Chronically Homeless Persons	1108
Number of Youth Under Age 25	486
Number of Parenting Youth Under Age 25 with Children	40
Number of Adult Heads of Household	3476
Number of Child and Unknown Age Heads of Household	88
Heads of Households and Adult Stayers in the Project 365 Days or More	7

**Q05a: Data Quality: Personally Identifying Information (PII)**

Data Element	Client Doesn't Know/Refused	Information Missing	Data Issues	Total	% of Error Rate
Name	0	0	0	0	0.00 %
Social Security Number	304	195	2	501	11.26 %
Date of Birth	0	3	1	4	0.09 %
Race	30	8	0	38	0.85 %
Ethnicity	29	7	0	36	0.81 %
Gender	1	2	0	3	0.07 %
Overall Score				541	12.15 %

**Q05b: Data Quality: Universal Data Elements**

	Error Count	% of Error Rate
Vetision Status	31	0.85 %
Project Start Date	0	0.00 %
Relationship to Head of Household	47	1.06 %
Client Location	2	0.06 %
Disabling Condition	93	2.09 %

**Q05c: Data Quality: Income and Housing Data Quality**

	Error Count	% of Error Rate
Destination	74	1.97 %
Income and Sources at Start	122	3.42 %
Income and Sources at Annual Assessment	5	71.43 %
Income and Sources at Exit	79	2.47 %

**Q05d: Data Quality: Chronic Homelessness**

	Count of Total Records	Missing Time in Institution	Missing Time in Housing	Approximate Date Started DK/R/missing	Number of Times DK/R/missing	Number of Months DK/R/missing	% of Records Unable to Calculate
ES, SH, Street Outreach	3731	0	0	38	76	141	4.24 %
TH	0	0	0	0	0	0	--
PH (All)	0	0	0	0	0	0	--
Total	3731	0	0	0	0	0	4.24 %

**Q05e: Data Quality: Timeliness**

	Number of Project Start Records	Number of Project Exit Records
0 days	1047	1003
1-3 Days	1600	1140
4-6 Days	512	361
7-10 Days	256	215
11+ Days	567	1043

**Q05f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter**

	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach or ES - NBN)	0	0	--
Bed Night (All Clients in ES - NBN)	0	0	--

**Q07a: Number of Persons Served**

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	3643	3102	541	0	0
Children	806	0	699	107	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	2	0	0	0	2
Total	4451	3102	1240	107	2
For PSH & RRH - the total persons served who moved into housing	0	0	0	0	0

Q08a: Households Served

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	3564	3072	404	87	1
For PSH & RRH – the total households served who moved into housing	0	0	0	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	639	525	105	9	0
April	424	338	79	7	0
July	379	300	74	5	0
October	496	413	80	3	0

Q09a: Number of Persons Contacted

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Times	0	0	0	0
6-9 Times	0	0	0	0
10+ Times	0	0	0	0
Total Persons Contacted	0	0	0	0

Q09b: Number of Persons Engaged

	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine
Once	0	0	0	0
2-5 Contacts	0	0	0	0
6-9 Contacts	0	0	0	0
10+ Contacts	0	0	0	0
Total Persons Engaged	0	0	0	0
Rate of Engagement	0.00	0.00	0.00	0.00

Q10a: Gender of Adults					
	Total	Without Children	With Children and Adults	Unknown Household Type	
Male	2222	2065	157	0	
Female	1384	1000	384	0	
Trans Female (MTF or Male to Female)	23	23	0	0	
Trans Male (FTM or Female to Male)	5	5	0	0	
Gender Non-Conforming (i.e. not exclusively male or female)	8	8	0	0	
Client Doesn't Know/Client Refused	1	1	0	0	
Data Not Collected	0	0	0	0	
<b>Subtotal</b>	<b>3643</b>	<b>3102</b>	<b>541</b>	<b>0</b>	

  

Q10b: Gender of Children				
	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	414	359	55	0
Female	386	340	46	0
Trans Female (MTF or Male to Female)	0	0	0	0
Trans Male (FTM or Female to Male)	2	0	2	0
Gender Non-Conforming (i.e. not exclusively male or female)	4	0	4	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
<b>Subtotal</b>	<b>806</b>	<b>699</b>	<b>107</b>	<b>0</b>

  

Q10c: Gender of Persons Missing Age Information					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	0	0	0	0	0
Trans Female (MTF or Male to Female)	0	0	0	0	0
Trans Male (FTM or Female to Male)	0	0	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	2	0	0	0	2
<b>Subtotal</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>

Q10: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	2636	414	228	1872	122	0	0
Female	1770	386	179	1136	69	0	0
Trans Female (MTF or Male to Female)	23	0	10	13	0	0	0
Trans Male (FTM or Female to Male)	7	2	3	2	0	0	0
Gender Non-Conforming (i.e. not exclusively male or female)	12	4	4	4	0	0	0
Client Doesn't Know/Client Refused	1	0	0	1	0	0	0
Data Not Collected	2	0	0	0	0	0	2
Subtotal	4451	806	424	3028	191	0	2

Q11: Age

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	315	0	305	10	0
5 - 12	309	0	301	8	0
13 - 17	182	0	93	89	0
18 - 24	424	364	60	0	0
25 - 34	987	727	260	0	0
35 - 44	964	797	167	0	0
45 - 54	715	668	47	0	0
55 - 61	362	356	6	0	0
62+	191	190	1	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	2	0	0	0	2
Total	4451	3102	1240	107	2

Q12a: Race

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
White	5348	2571	698	79	0
Black or African American	717	275	423	19	0
Asian	12	10	2	0	0
American Indian or Alaska Native	69	67	2	0	0
Native Hawaiian or Other Pacific Islander	16	7	9	0	0
Multiple Races	222	137	80	5	0
Client Doesn't Know/Client Refused	99	34	22	3	0
Data Not Collected	8	1	4	1	2
Total	4451	3102	1240	107	2

Q12b: Ethnicity

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Non-Hispanic/Non-Latino	4255	2974	1187	94	0
Hispanic/Latino	160	116	32	12	0
Client Doesn't Know/Client Refused	29	12	17	0	0
Data Not Collected	7	0	4	1	2
<b>Total</b>	<b>4451</b>	<b>3102</b>	<b>1240</b>	<b>107</b>	<b>2</b>

Q13a1: Physical and Mental Health Conditions at Start

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	1609	1380	161	53	-	15	0
Alcohol Abuse	482	475	7	0	-	0	0
Drug Abuse	443	410	31	0	-	2	0
Both Alcohol and Drug Abuse	390	366	18	0	-	6	0
Chronic Health Condition	757	660	68	27	-	2	0
HIV/AIDS	28	27	1	0	-	0	0
Developmental Disability	435	325	44	62	-	4	0
Physical Disability	831	759	50	12	-	1	0

↳ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Problem	1361	1176	127	43	-	15	0
Alcohol Abuse	423	418	5	0	-	0	0
Drug Abuse	409	378	29	0	-	2	0
Both Alcohol and Drug Abuse	336	317	13	0	-	6	0
Chronic Health Condition	619	543	52	22	-	2	0
HIV/AIDS	25	24	1	0	-	0	0
Developmental Disability	366	272	37	53	-	4	0
Physical Disability	691	637	41	12	-	1	0

↳ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".



Q13c1: Physical and Mental Health Conditions for Stayers

	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults &	With Only Children	Unknown Household Type
Mental Health Problem	264	227	29	8	-	0	0
Alcohol Abuse	50	48	2	0	-	0	0
Drug Abuse	49	44	5	0	-	0	0
Both Alcohol and Drug Abuse	48	44	4	0	-	0	0
Chronic Health Condition	144	124	15	5	-	0	0
HIV/AIDS	3	3	0	0	-	0	0
Developmental Disability	71	56	9	6	-	0	0
Physical Disability	138	122	15	1	-	0	0

⚠ The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	1264	1023	203	38	0
No	2399	2043	328	28	0
Client Doesn't Know/Client Refused	12	8	2	2	0
Data Not Collected	56	38	8	19	1
Total	3731	3102	541	87	1

Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Yes	414	289	118	7	0
No	801	653	80	28	0
Client Doesn't Know/Client Refused	3	3	0	0	0
Data Not Collected	46	38	5	3	0
Total	1264	1023	203	38	0

Q15: Living Situation	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Homeless Situations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	682	548	125	9	0
Transitional housing for homeless persons (including homeless youth)	9	9	0	0	0
Place not meant for habitation	1236	1070	163	3	0
Safe Haven	29	23	6	0	0
Host Home (non-crisis)	1	0	0	1	0
Interim Housing G	0	0	0	0	0
<b>Subtotal</b>	<b>1957</b>	<b>1650</b>	<b>294</b>	<b>13</b>	<b>0</b>
<b>Institutional Settings</b>	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	105	103	1	1	0
Substance abuse treatment facility or detox center	49	48	1	0	0
Hospital or other residential non-psychiatric medical facility	101	97	2	2	0
Jail, prison or juvenile detention facility	130	105	22	3	0
Foster care home or foster care group home	2	0	0	2	0
Long-term care facility or nursing home	1	1	0	0	0
Residential project or halfway house with no homeless criteria	14	14	0	0	0
<b>Subtotal</b>	<b>402</b>	<b>368</b>	<b>26</b>	<b>8</b>	<b>0</b>
<b>Other Locations</b>	0	0	0	0	0
Permanent housing (other than RPH) for formerly homeless persons	8	2	6	0	0
Owned by client, no ongoing housing subsidy	9	9	0	0	0
Owned by client, with ongoing housing subsidy	1	1	0	0	0
Rental by client, with RPH or equivalent subsidy	0	0	0	0	0
Rental by client, with HCV voucher (tenant or project based)	8	4	4	0	0
Rental by client in a public housing unit	1	1	0	0	0
Rental by client, no ongoing housing subsidy	90	71	19	0	0
Rental by client, with VASH subsidy	1	0	1	0	0
Rental by client with GPD TIP subsidy	1	1	0	0	0
Rental by client, with other housing subsidy	26	23	3	0	0
Hotel or motel paid for without emergency shelter voucher	179	149	29	1	0
Staying or living in a friend's room, apartment or house	601	515	62	24	0
Staying or living in a family member's room, apartment or house	412	282	90	40	0
Client Doesn't Know/Client Refused	14	10	4	0	0
Data Not Collected	21	16	3	1	1
<b>Subtotal</b>	<b>1372</b>	<b>1084</b>	<b>221</b>	<b>66</b>	<b>1</b>
<b>Total</b>	<b>3731</b>	<b>3102</b>	<b>541</b>	<b>87</b>	<b>1</b>

Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	2070	0	1697
\$1 - \$150	14	0	13
\$151 - \$250	35	0	37
\$251 - \$500	100	0	97
\$501 - \$1000	778	1	702
\$1,001 - \$1,500	263	1	223
\$1,501 - \$2,000	161	0	137
\$2,001+	152	0	142
Client Doesn't Know/Client Refused	1	0	0
Data Not Collected	69	0	60
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	528	0
Number of Adult Stayers Without Required Annual Assessment	0	5	0
Total Adults	3643	535	3108

Q17: Cash Income - Sources

	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	332	1	320
Unemployment Insurance	58	0	50
SSI	639	1	543
SSDI	548	0	467
VA Service-Connected Disability Compensation	23	0	21
VA Non-Service Connected Disability Pension	4	0	4
Private Disability Insurance	1	0	1
Worker's Compensation	4	0	4
TANF or Equivalent	92	0	114
General Assistance	1	0	7
Retirement (Social Security)	38	1	30
Pension from Former Job	5	0	6
Child Support	39	0	36
Alimony (Spousal Support)	7	0	6
Other Source	80	1	75
Adults with Income Information at Start and Annual Assessment/Exit	0	2	104

Q19b: Disabling Conditions and Income for Adults at Exit

	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults	AC: % with Disabling Condition by Source	UK: Adult with Disabling Condition	UK: Adult without Disabling Condition	UK: Total Adults	UK: % with Disabling Condition by Source
Earned Income	168	105	273	61.54 %	26	19	45	57.78 %	0	0	0	--
Supplemental Security Income (SSI)	466	29	495	94.08 %	28	7	35	80.00 %	0	0	0	--
Social Security Disability Insurance (SSDI)	407	19	426	95.69 %	21	4	25	84.00 %	0	0	0	--
VA Service-Connected Disability Compensation	18	1	19	94.84 %	0	1	1	0.00 %	0	0	0	--
Private Disability Insurance	0	0	0	--	0	0	0	--	0	0	0	--
Worker's Compensation	1	0	1	100.00 %	0	1	1	0.00 %	0	0	0	--
Temporary Assistance for Needy Families (TANF)	8	5	13	61.54 %	46	54	100	45.97 %	0	0	0	--
Retirement Income from Social Security	23	5	29	79.28 %	1	0	1	100.00 %	0	0	0	--
Pension or retirement income from a former job	4	1	5	80.00 %	0	0	0	--	0	0	0	--
Child Support	10	2	12	83.33 %	15	10	25	59.06 %	0	0	0	--
Other source	98	19	117	83.84 %	13	9	22	59.09 %	0	0	0	--
No Sources	937	454	1391	67.34 %	43	175	218	20.03 %	0	0	0	--
Unduplicated Total Adults	1854	628	2522		146	263	409		0	0	0	

Q20a: Type of Non-Cash Benefit Sources

	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	1342	2	1217
WIC	64	0	69
TANF Child Care Services	12	0	11
TANF Transportation Services	8	0	10
Other TANF-Funded Services	7	0	8
Other Source	58	0	80

Q21: Health Insurance

	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	2541	0	2249
Medicare	540	0	482
State Children's Health Insurance Program	9	0	9
VA Medical Services	36	0	31
Employer Provided Health Insurance	18	0	13
Health Insurance Through COBRA	1	0	1
Private Pay Health Insurance	45	0	41
State Health Insurance for Adults	33	0	23
Indian Health Services Program	12	0	11
Other	48	0	43
No Health Insurance	1457	1	1163
Client Doesn't Know/Client Refused	15	0	11
Data Not Collected	152	12	88
Number of Stayers Not Yet Required to Have an Annual Assessment	0	676	0
1 Source of Health Insurance	2493	0	2188
More than 1 Source of Health Insurance	418	0	371

Q22a2: Length of Participation - ESG Projects

	Total	Leavers	Stayers
0 to 7 days	1820	1633	187
8 to 14 days	340	292	48
15 to 21 days	277	241	36
22 to 30 days	255	218	37
31 to 60 days	539	434	105
61 to 90 days	351	276	85
91 to 180 days	644	501	143
181 to 365 days	190	155	35
366 to 730 days (1-2 Yrs)	25	12	13
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	4451	3762	689

Q22c: Length of Time between Project Start Date and Housing Move-in Date

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	0	0	0	0	0
8 to 14 days	0	0	0	0	0
15 to 21 days	0	0	0	0	0
22 to 30 days	0	0	0	0	0
31 to 60 days	0	0	0	0	0
61 to 180 days	0	0	0	0	0
181 to 365 days	0	0	0	0	0
366 to 730 days (1-2 Yrs)	0	0	0	0	0
Total (persons moved into housing)	0	0	0	0	0
Average length of time to housing	--	--	--	--	--
Persons who were exited without move in	0	0	0	0	0
Total persons	0	0	0	0	0

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	1820	1678	83	58	1
8 to 14 days	340	294	68	8	0
15 to 21 days	277	179	90	8	0
22 to 30 days	255	171	76	8	0
31 to 60 days	539	284	245	10	0
61 to 90 days	361	172	185	4	0
91 to 180 days	644	279	354	10	1
181 to 365 days	190	70	119	1	0
366 to 730 days (1-2 Yrs)	25	5	20	0	0
731 to 1,095 days (2-3 Yrs)	0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	4451	3102	1240	107	2

Q22a: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less	1539	874	598	67	0
8 to 14 days	208	124	80	4	0
15 to 21 days	136	96	40	0	0
22 to 30 days	135	84	51	0	0
31 to 60 days	315	202	111	2	0
61 to 180 days	692	493	189	10	0
181 to 365 days	409	323	79	7	0
366 to 730 days (1-2 Yrs)	338	290	35	4	0
731 days or more	602	577	20	5	0
Total (persons moved into housing)	4374	3072	1205	99	0
Not yet moved into housing	0	0	0	0	0
Data not collected	77	30	37	8	2
Total persons	4451	3102	1240	107	2

Q23c: Exit Destination -- All persons	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
<b>Permanent Destinations</b>	0	0	0	0	0
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	14	3	11	0	0
Owned by client, with ongoing housing subsidy	5	3	2	0	0
Rental by client, no ongoing housing subsidy	406	119	286	0	1
Rental by client, with VASH housing subsidy	1	1	0	0	0
Rental by client, with BPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	294	178	112	4	0
Permanent housing (other than RRH) for formerly homeless persons	38	33	5	0	0
Staying or living with family, permanent tenure	172	75	65	32	0
Staying or living with friends, permanent tenure	82	55	25	2	0
Rental by client, with RRH or equivalent subsidy	9	6	3	0	0
Rental by client, with HCV voucher (tenant or project based)	200	62	138	0	0
Rental by client in a public housing unit	29	14	15	0	0
<b>Subtotal</b>	1250	549	662	38	1
<b>Temporary Destinations</b>	0	0	0	0	0
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	857	818	33	6	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless youth)	53	32	15	6	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	180	96	79	5	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	335	260	56	19	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	408	392	13	3	0
Safe Haven	3	3	0	0	0
Hotel or motel paid for without emergency shelter voucher	61	31	29	1	0
Host Home (non-crisis)	1	1	0	0	0
<b>Subtotal</b>	1898	1633	225	40	0
<b>Institutional Settings</b>	0	0	0	0	0
Foster care home or group foster care home	10	0	6	4	0
Psychiatric hospital or other psychiatric facility	21	19	2	0	0
Substance abuse treatment facility or detox center	172	172	0	0	0
Hospital or other residential non-psychiatric medical facility	28	28	0	0	0
Jail, prison, or juvenile detention facility	22	18	2	2	0
Long-term care facility or nursing home	5	5	0	0	0
<b>Subtotal</b>	258	242	10	6	0
<b>Other Destinations</b>	0	0	0	0	0
Residential project or halfway house with no homeless criteria	5	2	0	3	0



	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Deceased	6	6	0	0	0
Other	236	197	36	2	1
Client Doesn't Know/Client Refused	74	31	41	2	0
Data Not Collected (no exit interview completed)	35	23	10	2	0
<b>Subtotal</b>	<b>356</b>	<b>259</b>	<b>87</b>	<b>9</b>	<b>1</b>
<b>Total</b>	<b>3762</b>	<b>2683</b>	<b>984</b>	<b>93</b>	<b>2</b>
Total persons exiting to positive housing destinations	1251	550	662	38	4
Total persons whose destinations excluded them from the calculation	49	39	6	4	0
Percentage	33.69 %	20.80 %	67.69 %	42.70 %	200.00 %

Q24: Homelessness Prevention Housing Assessment at Exit

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start-Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project start-With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start-With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start-Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit-With on-going subsidy	0	0	0	0	0
Moved to new housing unit-Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless - moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Q25: Number of Veterans

	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	54	54	0	0
Non-Chronically Homeless Veteran	132	126	6	0
Not a Veteran	3426	2899	527	0
Client Doesn't Know/Client Refused	26	18	8	0
Data Not Collected	5	5	0	0
<b>Total</b>	<b>3643</b>	<b>3102</b>	<b>541</b>	<b>0</b>

Q25b: Number of Chronically Homeless Persons by Household

	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	1106	1003	103	2	0
Not Chronically Homeless	3148	2059	992	97	0
Client Doesn't Know/Client Refused	15	9	4	2	0
Data Not Collected	180	31	141	6	2
Total	4451	3102	1240	107	2

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## 2020 Section 3 Reports



### Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons  
 U.S. Department of Housing and Urban Development  
 Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
 (exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
26 Edison Drive, AUGUSTA, ME 04330

Dollar Amount:	\$2,775,933.50
Contact Person:	Paula Weber
Date Report Submitted:	03/29/2021

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/20	12/31/20	HTF	CPD's Integrated Disb & Inf System

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



### Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons  
 U.S. Department of Housing and Urban Development  
 Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
 (exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
26 Edison Drive, AUGUSTA, ME 04330

Dollar Amount:	\$3,097,708.20
Contact Person:	Paula Weber
Date Report Submitted:	03/29/2021

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/20	12/31/20	HOME	HOME Program

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.



### Section 3 Summary Report

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 U.S. Department of Housing and Urban Development  
 Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043  
 (exp. 11/30/2018)

Disbursement Agency
MAINE STATE HOUSING AUTHORITY
353 WATER STREET, AUGUSTA, ME 4330
01-0312916

Reporting Entity
MAINE STATE HOUSING AUTHORITY
26 Edison Drive, AUGUSTA, ME 04330

Dollar Amount:	\$919,251.09
Contact Person:	Paula Weber
Date Report Submitted:	03/29/2021

Reporting Period		Program Area Code	Program Area Name
From	To		
1/1/20	12/31/20	SNAP	Special Needs Assistance

The expenditure of these funds did not result in any covered contracts or new hires during the 12-month period selected.